

Blaylock Van, LLC

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Item #	Line Item	Notes	Unit Price	Qty/Unit	Attch. Docs	
GEN2126726Q1--01-01	Library of Bond Underwriters	Supplier Product Code:	First Offer -	1 / contract	Y	Y

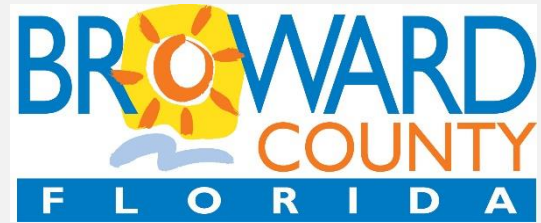
Supplier Total **\$0.00**

Blaylock Van, LLC

Item: **Library of Bond Underwriters**

Attachments

Blaylock Van LLCs Response to Broward County RFQ for Library of Bond Underwriters.pdf



Broward County Board of County Commissioners

Blaylock Van, LLC's Response To
Request for Qualifications
for
Library of Bond Underwriters

Primary Contacts:

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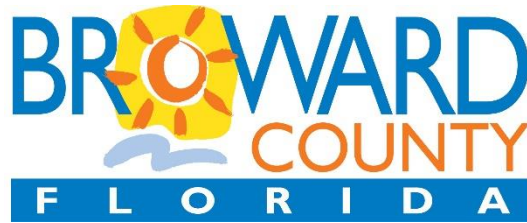
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August 15, 2023

Table of Contents



Broward County Board of County Commissioners

**Blaylock Van, LLC's Response To
Request for Qualifications
for
Library of Bond Underwriters**

<u>TOPIC</u>	<u>PAGE</u>
1. Brief History	-
2. Key Staff	1
3. Full-Time Public Finance Offices	1
4. Retail And Institutional Sales Experience	2
5. Potential Bond Issuances	2
6. Marketing Plan	3
7. Case Studies	4
8. References	4
9. Alternative Strategies	5
10. Credit Facilities	6
11. Educational Efforts	6
12. Regulatory Investigations	7

APPENDICES

- Appendix A – Brief Resumes of Assigned Team
- Appendix B – MBE Certifications
- Appendix C – Deal Experience
- Appendix D – Vendor Reference

August 15, 2023

Via PeriscopeS2G: Stephen Farmer
Deputy Chief Financial Officer
sfarmer@broward.org

RE: Broward County Board of County Commissioners - Request for Qualifications: Library of Bond Underwriters

Dear Mr. Farmer:

On behalf of Blaylock Van, LLC ("Blaylock Van," "BV" or the "Firm") we are pleased to have this opportunity to submit our response to the Broward County Board of County Commissioners (the "County") Request for Qualifications for the Library of Bond Underwriters. Blaylock Van is a full-service African American-owned and controlled broker dealer and investment-banking firm. Blaylock Van is seeking to serve the County as senior managing underwriter. However, should the Firm not be selected as the senior, book-running manager, we would request consideration as co-managing underwriter. Blaylock Van can offer an experienced banking team, a national distribution network, and additional capabilities that provide unique benefits to the County. Since 2019 the Firm has served as a senior, co-senior or co-managing underwriter on more than **465** transactions aggregating more than **\$173 billion** in par amount.

- **Senior Banking Team with Significant Relevant Experience:** BV's professionals have served as lead manager on a wide variety of complex transactions. Our bankers have extensive experience serving the entire spectrum of issuers from the largest, most sophisticated national borrowers to smaller local issuers throughout the nation. Our senior bankers have been trained at major and regional investment banks and financial advisory firms and they can add value to the County's financings.
- **Commitment to Public Finance:** Public Finance is a core line of business for Blaylock Van, and we are committed to dedicating all resources available to best serve the needs of the System. Our industry ranking has risen from #69 in 2013 to #20 in 2022 among all firms and #6 among non-majority firms. As of the date of this RFP, the Firm is ranked #17 among all firms and #5 among non-majority firms in 2023. Through strategic banking, sales and trading hires, we have expanded our presence in the municipal market. Keeping true to our commitment to public finance, the Firm hired Leonard Jones in April of 2023 as Head of Public Finance. Mr. Jones brings 30+ years of experience to Blaylock Van and has held senior roles at a number of leading financial institutions.
- **Proven Pricing and Marketing:** BV's sales professionals bring strong marketing and distribution capabilities that have proven effective with all investor sectors. BV's sales force covers a broad range of institutional and professional retail market participants, including purchasers of taxable bonds. The Firm's frequency of participation in the tax-exempt and taxable markets provides valuable insights into investor buying patterns and structuring preferences, which will directly benefit the County's financings.
- **Commitment to Diversity and Inclusion:** Blaylock Van is a certified full-service African American owned and controlled broker dealer and investment-banking firm. BV was formed in 1991 and it is the longest continuously operating African American-owned investment bank in the United States, with over 89% African American ownership. Including Blaylock Van in the underwriting syndicate can help the County fulfill its MWBE procurement goals. The Firm is certified by various organizations as an MBE including the State of New York, the City of Orlando, and the National Minority Supplier Development Council. Please see **Appendix B** for our MBE Certifications.
- **Benefits of a Boutique Bank.** Blaylock Van has the ability to dedicate more time and resources than larger banks to premarket bonds, which has been particularly successful in bringing in orders from Tier

II and Tier III accounts. Additionally, the Firm utilizes a team approach to provide the most effective investment banking and marketing services to our clients. Our size accommodates for the seamless and efficient communication between the sales force, underwriting and banking teams which has contributed to the development of new structures for clients as well as proficient investor education on the bond issues we manage.

On behalf of the entire Blaylock Van team, we are excited at the prospect of serving the Broward County Board of County Commissioners. Should you have any questions or comments regarding our proposal, please feel free to contact us at any time.

Very truly yours,



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1. Give a brief history of your firm (maximum of one page).

Overview. Blaylock Van is a full-service African American owned and controlled broker dealer and investment banking firm. The Firm was formed in 1991 as Robert Van Securities and was reorganized in 2007 as Blaylock Robert Van, LLC with the merger of assets with Blaylock & Company. The Firm is a California Limited Liability Company and is co-headquartered in Oakland and New York for regulatory filing purposes with the SEC and FINRA. In 2014, the Firm was renamed Blaylock Beal Van following the addition of key personnel from the former M.R. Beal & Co. In 2017, the Firm adopted the current name, Blaylock Van, LLC. The Firm is active in a variety of business lines including corporate bond underwriting, municipal finance, broker dealer services, equity trading, and investment management.

Ownership Structure. Blaylock Van is organized as a California LLC. Its principal owners are Robert Van Securities (62.57%) and Blaylock & Company, Inc. (36.04%). The Firm is certified as an MBE by State of New York, the City of Orlando, and the National Minority Supplier Development Council (NMSDC). Please see **Appendix B** for our MBE Certifications.

Entities with Ownership	Ownership	Entity Owners	Ownership	Blaylock Van Owners	Ownership	Aggregate
Robert Van Securities	62.57%	Eric Standifer	84.75%	Eric Standifer		53.03%
		David Goeddel	15.25%	David Goeddel		9.54%
Blaylock & Company	36.04%	Blaylock General LP	99.20%	Ronald Blaylock	86.00%	30.75%
				Ted Wells	9.00%	3.22%
				David Jones	1.40%	0.50%
		Robert Devlin/Devlin Family Foundation	0.80%	Blaylock & Co (Passive Entity)	3.60%	1.29%
				Robert Devlin/Devlin Family Foundation		0.29%
Kalispel Tribal Authority	0.06%	Kalispel Tribal Authority	100%	Kalispel Tribal Authority		0.06%
JMMB, Ltd.	1.27%	JMMB, Ltd.	100%	JMMB, Ltd.		1.27%
David V. and Alena Z. Goeddel 2004 Trust	0.06%	David V. and Alena Z. Goeddel 2004 Trust	100%	David V. and Alena Z. Goeddel 2004 Trust		0.06%
	100.00%					100.00%

Municipal Finance. Public Finance is a core line of business for Blaylock Van, and we are committed to dedicating all resources available to best serve the needs of our clients. Blaylock Van entered the Municipal finance market in 2007, with the addition of professionals from the former SBK Brooks and expanded further in 2014 with the addition of key personnel from M.R. Beal & Co. Our municipal finance business is national in scope, serving some of the largest, most sophisticated issuers in the market as well as smaller municipal and 501(c)(3) entities. The Firm currently employs 44 professionals in its seven offices located in New York, Oakland, Dallas, Atlanta, Chicago, Indianapolis and Miami. The banking efforts will be performed in the Firm's New York and Atlanta offices while the sales and underwriting efforts will be performed in the Firm's Miami, New York, and Oakland offices. The Firm's public finance division comprises of approximately **39%** of the total workforce. BV's professionals have served as lead, co-senior and co-manager on a wide variety of complex transactions. As a smaller firm, we can bring a unique level of focus to the County's bond issuances by focusing more of the Firm's time on the County for a more interactive approach that larger firms may not be able to provide.

2. Identify the key staff to be assigned to this engagement, including their roles and specific credit experience. Provide a brief resume for each including length of tenure with your firm.

Blaylock Van employs a team approach to providing effective investment banking and underwriting services to its clients. **Jervis Hough, Senior Vice President and Chief Operating Officer**, will serve as the lead banker and primary day-to-day contact for the County. Mr. Hough has over 15-years of experience and serves as the lead banker covering Florida. **Jonathan Ly, Senior Vice President**, will serve as support banker. Mr. Ly has over 25-years of relevant experience and has served as lead banker covering the Midwest. **Leonard Jones, Executive Director and Head of Public Finance**, will oversee the entire underwriting and will add to the structuring and credit expertise of our firm. In addition to his significant experience as a public finance investment banker at firms such as Morgan Stanley and Smith Barney, Mr. Jones most recently spent the last 8 years managing Moody's Investors Service US local governments credit rating teams nationwide. In addition to his day job, he also managed Moody's ESG scoring efforts and cybersecurity analysis for the US public finance group. **Patrick Kutch, Analyst**, will provide

quantitative and transactional support. **Ronald Banaszek, Lead Underwriter and Manager of Municipal Distribution**, will direct the Firm's underwriting and sales efforts. Resumes for staff assigned to the County are included in **Appendix A**.

Team Member/Location	Engagement Role	Qualifications and Experience
Banking		
Leonard Jones <i>Executive Director</i> New York, NY ljones@brv-llc.com Tel: (212) 715-3310	(Head of Public Finance) Manage and lead the transaction through the entire deal process	<ul style="list-style-type: none"> Recently joined the Firm in April 2023, coming with over 30 years of experience in municipal finance. Graduated from Dartmouth College and received an MBA from Stanford University Prior experience with Moody's Investors Service, Rice Financial Products Company, Smith Barney and Morgan Stanley.
Jervis Hough <i>Senior Vice President and Chief Operating Officer</i> Atlanta, GA jhough@brv-llc.com Tel: (678) 510-1738	(Co-Lead Banker) Day to Day Contact, provide deal management and document review, attend all meetings	<ul style="list-style-type: none"> Over 15 years of experience in municipal finance, including 5 years at Blaylock Van Graduated from Clemson University with a Bachelor of Science in Economics and an MS in Applied Economics Currently holds Series 7, 24, 53, 63, 79 and 99 registrations
Jonathan Ly <i>Senior Vice President</i> Chicago, IL jly@brv-llc.com Tel: (312) 391-9494	(Co-Banker) Day to Day Contact, provide deal management and document review, attend all meetings	<ul style="list-style-type: none"> Over 25 years of experience in municipal finance Currently holds FINRA Series 52 and 63 licenses Firm's Midwest Lead Banker Prior experience with Chapman and Cutler, Ferrand Consulting Group, KeyBank Capital Markets, Piper Sandler, CastleOak Securities and Benchmark Securities
Ronald Banaszek <i>Senior Vice President</i> New York, NY rbanaszek@brv-llc.com Tel: (914) 714-0204	(Underwriter & Head of Municipal Distribution) Coordinate underwriting, sales, and marketing efforts	<ul style="list-style-type: none"> Graduated from Manhattanville College with a BA 30 years of experience, including 2 at BV Prior experience with Ramirez and UBS Currently holds FINRA Series 7, 53, and 63 registrations
Patrick Kutch <i>Analyst</i> New York, NY pkutch@brv-llc.com Tel: (212) 715-3324	(Lead Analyst) Quantitative and Transactional Support	<ul style="list-style-type: none"> 1+ years of experience at Blaylock Van Graduated from Marist College with a BS in Business administration with a concentration in Finance Currently holds Series 7, 52, and 63 registrations

3. Does your firm maintain any full-time public finance offices in Florida? If so, provide location, staffing, and contact information and identify which, if any, of these offices that will be involved in providing Underwriter Services.

Blaylock Van maintains an office in Miami staffed with two of our sales professionals; David Pichler and Joe Petraccaro. Our underwriting services to the County will be provided out of our New York City office. Below are the addresses for our Miami and New York City offices.

Miami Office	New York Office
333 Southeast 2 nd Avenue 20 th Floor Miami, FL 33131	600 Lexington Avenue 3 rd Floor New York, NY 10022

4. List retail and institutional sales experience for Airport, Port, Water and Sewer, Convention Center/Convention Center Hotel, General Obligation, Certificate of Participation, Special Obligation, Sports Facilities, and all other debt for which your firm served as Senior or Co-Manager in the past 5 years. Separate and subtotal by the type of debt listed above and include role, method of sale, date of issue, issue name, issue size, Rating, TIC, and gross spread.

In the last five years, Blaylock Van has served as a manager on Airport, Port, Water and Sewer, Convention Center/Convention Center Hotel, General Obligation, Certificate of Participation, Special Obligation Sports Facilities and other issues as summarized below:

	NUMBER OF ISSUES	AGGREGATE PAR
AIRPORT	44	14,419,270,000
PORT	9	2,162,180,000
WATER AND SEWER	61	23,900,215,000
CONVENTION CENTER/CONVENTION CENTER HOTEL	2	202,705,000
GENERAL OBLIGATION	147	59,063,969,000
CERTIFICATE OF PARTICIPATION	5	93,710,000
SPECIAL OBLIGATION SPORTS FACILITIES	1	77,145,000
OTHERS	238	87,826,725,000

These transactions are detailed in **Attachment C**.

- Over the term of this Underwriter Library, Broward County anticipates potential bond issuances across the County's various credits. Given the diversity of County credits, and your understanding of the County's ratings and finances, identify which transactions could be done competitively in normal market conditions and present your marketing strategies for those credits you recommend to be sold via negotiated sale.

Given the County's strong finances and ratings, the County's bonds will have exceptional market access with either competitive or negotiated sales. Further, the pricing differential between negotiated and competitive sales will be slight, and either sale method might generate a lower rate depending upon constantly changing market factors.

Generally, we believe competitive sales are best suited for new money, tax-supported general government financings with stable ratings that tend to be less market sensitive. The County's Airport, Port, Tourist Development and Water/Wastewater transactions all have unique credit profiles that we feel are best served with a negotiated sale. We also believe that market-sensitive refunding transactions are best served with a negotiated execution. Additionally, there are several advantages to a negotiated sale which could make it more beneficial in any market, including:

- Broader retail investor participation, demand, and distribution
- Institutional investor diversity, including Tier II and Tier III Investors
- Less reliance on large bond funds
- Ability to increase or decrease the size of the transaction based on market conditions

- Describe your marketing plan to achieve the highest local and retail participation. List all retail sales offices located in Broward County.

The municipal market has proven very volatile thus far in 2023. In such an environment, we would support an approach that seeks to build a strong book of orders in the hope of a positive re-pricing. We would look to large "anchor" orders to set the pricing tone and provide support against potential increases in yields.

Our marketing plan for the County should look to capitalize on current market trends and maximize distribution to all classes of investors. The key components of a marketing strategy are:

- **Educate all classes of target investors regarding the County's credit strengths** – investor comfort with credit quality is the primary driver of high levels of investor participation for issues of this size and credit grade;
- **Structure for broad market acceptance** – provide alternate coupon strategies to appeal to different investors;
- **Price aggressively** and use the syndicate's capital, as needed, to achieve desired pricing levels

Retail – Retail demand has been driven primarily by separately managed accounts (SMAs), including bank trust departments and investment advisors. We recommend including a one-day Retail Order Period, implementing strategies designed to promote broad distribution of smaller orders (less than \$1 million in aggregate per order) and offer all maturities ten years and shorter and selected longer maturities as retail. Larger retail orders (greater than \$1 million in the aggregate) should be treated as Net Designated or Group Net.

Institutional Order Period – Structure the marketing effort to build “anchor” orders in the largest maturities. Initial marketing efforts would be targeted at the largest holders of the County’s bonds. The County can use smaller firms, like ours, to broaden distribution to Tier II and Tier III investors not well covered by major firms to promote liquidity.

Blaylock Van’s does not have sales offices in Broward County at the moment. Our retail distribution strategy relies on smaller SMAs and family offices and our retail distribution agreement with TD Ameritrade, which does have an office in Broward County located in Fort Lauderdale.

7. Provide three case studies for financings completed by your firm within the past 3 years. Describe unique challenges encountered and results and how they could apply to the County.

Below are three case studies demonstrating the Firm’s ability to support bond issues in different circumstances for bond transactions that it participated in.



Texas Public Finance Authority. Blaylock Van served as a co-manager on the Texas Public Finance Authority’s \$32,700,000 Taxable Lease Revenue Bonds, Series 2021. The Bonds were rated Aa1 and AA+ by Moody’s and S&P, respectively. During the pricing for this transaction, the 2033 maturity experienced weak demand. Blaylock Van was able to support the pricing by securing a \$5 million range order over the 2031-2034 maturity. We worked with the investor and book-runner and was able to provide the order to cover the 2033 maturity. Overall, Blaylock Van actively participated in the sale including placing going away orders for \$18.14 million including the \$5 million order to support the 2033 maturity.



Fort Bend County, Texas. Blaylock Van served as a joint-lead manager for the \$77,305,000 Fort Bend County, Texas Unlimited Tax Road Bonds and Certificates of Obligation, Series 2022. The syndicate had two senior managers and one co-manager. The Firm’s liability was 40%. The Bonds were rated Aa1 and AA+ by Moody’s and S&P, respectively. The deal priced in a turbulent market. Early to intermediate maturities saw oversubscription but the longer maturities and terms struggled to find investors. Blaylock Van took down \$2.8 million in bonds maturing 2038 and 2038 to assist in the completion of the deal.



State of Connecticut. Blaylock Van served as co-manager on the State of Connecticut’s \$350 million 2022 Series A Taxable General Obligation Bonds, \$150 million 2022 Series C General Obligation Bonds and \$568.87 million 2022 Series D General Obligation Bonds. The Bonds were rated Aa3 and A+ by Moody’s and S&P, respectively. The State was able to achieve historical participation levels during strong retail and institutional order period. The Firm supported the transaction by utilizing its continued coverage of Tier I and Tier II accounts to bring in orders. Blaylock Van submitted \$80 million from a Tier I SMA account during the retail order period and an additional \$40 million from a Tier II money manager during the institutional order period for a total of \$120 million in orders.

8. Provide references of three local or statewide issuers for which your firm served as Senior or Co-Manager.

References: We believe our clients are our best advertisement and would encourage the County to contact any of the references regarding the level of service provided. Our involvement with these issuers underscores our team’s investment banking service, bond structuring expertise, and aggressive pricing. We encourage you to contact these references to gain insight into the financing, scope of services, and execution that our firm delivered on their behalf.

Miami Dade County	City of Atlanta	MARTA
Arlesa Wood Director 111 NW 1 st Street, Suite 2550 Miami, FL 33128 (305) 375-2275 arlesa.wood@miamidade.gov	C. Courtney Knight Treasurer 68 Mitchell Street SW, Ste. 11100 Atlanta, GA 30303 (470) 618-9245 ccknight@atlantaga.gov	Kevin Hurley Deputy Chief Financial Officer 2424 Piedmont Road NW Atlanta, GA 30324 (404) 848-5774 khurley@itsmarta.com

9. What strategies would your firm recommend to incorporate into bond issuances in light of the repeal of the advance refunding authority. Describe the potential costs and benefits of each strategy from both the County and the investor's perspective.

Couponing. When structuring bonds in the current market, listening to investor feedback or trends in comparable issuances will be essential. The use of different coupons is something we have seen the market use to attract buyers during the current volatile market. Most syndicates are asking for price views on the tax-exempt side for 4%, 5%, and 5.25% coupons to be prepared for shifting market interest on longer-dated callable maturities, and we recommend the County does the same. It will be necessary to also be open to reverse inquiries from investors for lower or higher coupons. In this current market with continued outflows, participation drops and/or accounts become selective on where they participate. Having the option to restructure for reverse inquiries may aid in ensuring a strong group of potential institutional investors.

Call Optionality. The County could consider the use of shorter calls or bifurcated maturities with different calls to increase participation in new issuance deals. Currently, new issue transactions have paused in the use of shorter calls due to the fact of the minimal price advantage. Investors would rather hold bonds at today's rates with the expectation rates will move lower. The use of short calls was predominately used prior to 2023 and might come back into favor as market conditions change. Also, even if the price benefit is negligible, short calls provide additional flexibility to refund debt sooner in rising rate environments.

Put Bonds. Given that interest rates continue to remain elevated to levels not seen in over a decade, the put bond structure is worth considering as it has characteristics which take advantage of certain situations currently present in the economy. Put bonds allow issuers to price debt maturing in 20-30 years off of the 3–7-year MMD. The significant spread differential currently seen between the short and long ends of the MMD curve results in more favorable pricing for issuers with regard to longer dated debt. Additionally, as economic conditions fluctuate, the market expects the Federal Reserve to begin cutting rates within the 12 months. Should rates begin to fall, the put bond structure allows for greater flexibility for an issuer to refinance its debt as the mandatory tender date typically occurs 3-7 years after issuance. The issuer therefore is able to refinance sooner than waiting 10 years for the typical call. It is important to keep in mind however, that because of the mandatory nature of the tender, an issuer must remarket their bonds before the mandatory tender date or face a penalty interest rate. This introduces a risk, as the issuer may be forced to remarket the bonds during a less-than-ideal period in the market and therefore achieve rates that are unfavorable. Should the County believe, however, that rates will remain below today's level in the medium-term future, then a put bond structure may be worth considering. Since 2020, Blaylock Van has been a managing underwriter on 7 put bond transactions with an aggregate par amount of \$1.05 billion.

Tender Option Bonds. The elimination of current refundings and the inefficiency of taxable refundings, given current treasury rates, have led issuers on a path to find solutions that provide savings given current market conditions. The tender option strategy has proven an important tool to achieve savings that may not have been unlocked otherwise. In a tender bond transaction, the issuer makes a public offer to the holders of their bonds to tender the bonds for cash. The issuer will need to pay a premium to investors on the secondary market to entice participation. Once the issuer accepts the amount of bonds to be tendered, the issuer issues new bonds and uses the proceeds to purchase the tendered bonds. The difference in the present values of the prior and new debt service can create present value savings for issuers. The issuer has the ability to tender callable or non-callable tax-exempt bonds. Also, taxable bonds issued within the past few years to advance refund tax-exempt bonds are candidates to be tendered and purchased with tax-exempt proceeds, along with Build America Bonds. The targeted bonds for tenders can include, but are not limited to, bonds with higher coupons, trading at a discount or slight premium, non-callable or callable, and maturing within 20 years. The timeline below provides an illustration of the process for the County.



Considerations for the transaction include the negotiation process with buyers and the use of additional parties in the transaction such as the Tender Agent. Tender participation varies greatly by varying types of holders as seen below in tender transactions that have been priced since 2023. Since 2020, Blaylock Van has been a managing underwriter on 3 tender option bond transactions with an aggregate par amount of \$1.196 billion, which includes the shaded transactions below.

Issue Information						Tender Information			
Sale Date	Issuer	Series	Par Amount (for Tender) (\$M)	Tax Status	Tender Invitation Amount (\$M)	Tendered Amount (\$M)	Participation Rate	Tax Status - Tendered Bonds	
6/1/2023	The City of New York	General Bonds	Obligation	\$271.38	Tax-Exempt	\$1,068.19	\$353.30	33.07%	Taxable
4/27/2023	State of Wisconsin	General Bonds	Obligation	\$495.425	Tax-Exempt	\$1,800.000	\$855.000	47.50%	Tax-Exempt /Taxable
3/14/2023	State of Wisconsin	Transportation Revenue Bonds		\$329.780	Tax-Exempt	\$707.000	\$249.000	35.22%	Tax-Exempt /Taxable
3/7/2023	Pennsylvania Turnpike Commission	Turnpike Revenue Bonds	Subordinate Refunding	\$343.800	Tax-Exempt	\$1,316.915	\$357.365	27.14%	Tax-Exempt /Taxable
1/20/2023	Sales Tax Securitization Corporation	Second Lien Sales Tax Securitization Bonds		\$580.858*	Tax-Exempt/ Taxable	\$2,900.000	\$510.546	18%	Tax-Exempt /Taxable

*Includes Current refunding of an additional series

Forward/Delayed Delivery. The reduction of new issue volume may provide an opportunity to price delayed delivery refunding bonds on favorable terms. Certain institutions may be willing to accept reduced forward premiums over longer periods to remain fully invested.

Taxable Advance Refundings. The County can continue to evaluate advance refunding opportunities using taxable refunding bonds. While rates may be higher than tax-exempt rates, a taxable advance refunding can allow the County to manage its debt aggressively. A taxable advance refunding structured with callable taxable bonds can also provide the County with additional future refunding opportunities. Blaylock Van is an active participant in the taxable fixed income markets and can provide the County access to new taxable investors.

10. Describe your firm's ability and willingness to provide credit facilities to the County. Provide examples of credit facilities provided to other Florida local governments.

Blaylock Van does not provide credit facilities.

11. Describe any client educational efforts your firm provides.

Blaylock Van has a lot of in-house expertise that it can and will provide as part of its educational efforts to its clients. **Leonard Jones, Executive Director**, most recently spent the last 8 years managing Moody's Investors Service US local governments credit rating teams nationwide. He has significant expertise with the rating process. Additionally, Mr. Jones also managed Moody's ESG scoring efforts and cybersecurity analysis for the US public finance group. **Jervis Hough, Senior Vice President and Chief Operating Officer**, in addition to having a vast array of municipal finance expertise, also worked at FINRA's District 7 office and is well versed in regulatory and compliance issues. **Jonathan Ly, Senior Vice President**, has served as lead analyst at Chapman and Cutler performing arbitrage rebate services and is well versed on tax-issues. Additionally, while at Ferrand Consulting Group, Mr. Ly trained analysts, bankers and issuers across the country on bond structuring.

Additionally, our practice at Blaylock Van is to educate our clients about market conditions and opportunities for greater efficiency and/or savings. We regularly monitor our client's debt and present

new financing structures and market opportunities in meetings. Our bankers also regularly send out Blaylock Van's Weekly Market Update to provide clients with new market information.

12. Provide details on any active SEC or other regulatory investigations of your firm on a firm-wide basis. Provide the results of any past investigations or enforcement actions related to public finance or muni sales activities.

There are no active SEC or other regulatory investigations of Blaylock Van on a firm-wide basis.

In November 2016, Blaylock Van entered into a Letter of Acceptance, Waiver and Consent ("AWC") with FINRA due to an administrative error by a prior Chief Compliance Officer on certain of its G-37 filings. There were no allegations or findings in the AWC that the Forms G-37 at issue had failed to disclose political contributions, if any, made by the Firm or any of its municipal securities professionals and that the Firm had engaged in any other wrongful conduct.

In January 2023, Blaylock Van settled with FINRA for supervisory failures that violated Municipal Securities Rulemaking Board Rule G-27 in connection with the firm's failure to detect pre-arranged trading that occurred between 2016 and 2017. There was no harm to any issuers or customers.

Appendix A – Brief Biographies of Assigned Personnel**Leonard Jones, Executive Director Head of Public Finance**

Leonard Jones is an Executive Director and the Head of Public Finance at Blaylock Van, LLC. He was previously a Managing Director at Moody's Investors Service, where he managed local government ratings in the US, in addition to the ESG and Cybersecurity risk groups within US Public Finance. His team of eighty credit analysts provided credit ratings, research and ESG scoring to US Public Finance issuers from four offices in the US. Prior to Moody's, Mr. Jones was a public finance investment banker at Smith Barney and Morgan Stanley. He was also a Partner at Rice Financial Products Company. Mr. Jones has assisted state and local governments with the issuance of over forty billion dollars of senior and co-managed municipal bonds issuances and credit facilities, structured and executed several hundred million dollars of municipal interest rate swaps and provided financial advice for several large international infrastructure projects. Mr. Jones also worked in Africa as an Advisor to the Zambia Privatization Agency where he managed the privatization of over twenty government owned assets. Mr. Jones is a member of the Board of Directors of the Municipal Analysts Group of New York and a member of the Investment Committee of the Executive Leadership Council. He received a BA in economics from Dartmouth College and an MBA from the Stanford University Graduate School of Business.

Jervis Hough, Senior Vice President/Chief Operating Officer Lead Banker

Mr. Hough currently serves in a dual capacity with Blaylock Van as the Chief Operating Officer (COO) and a member of the Public Finance Team. Prior to rejoining Blaylock Van, Mr. Hough was CCO at IFS Securities and is the Founding Member of Taurus Compliance Consulting, LLC. Mr. Hough has also served as CEO of Jumpstart Securities (formerly FundAmerica Securities) as well as President and CEO of Capital & Credit International, Inc. Mr. Hough began his career at FINRA's District 7 office. Mr. Hough possesses 17 years of experience in the securities industry, which includes, municipal securities regulation, establishing MSRB member broker-dealers, surveillance of fixed income product sales and distribution, as well as identifying and evaluating investment banking opportunities for raising capital and project financing both domestically and internationally. Mr. Hough was a Founding Board Member of the Georgia Crowdfunding Association and past Board Member of the Jamaica U.S.A. Chamber of Commerce. Mr. Hough is a graduate of Clemson University and holds a BS Degree in Economics and an MS Degree in Agricultural and Applied Economics. He earned his Certified Securities Compliance Professional certification from the National Society of Compliance Professionals. Mr. Hough holds the Series 7, 24, 53, 63, 79 and 99 licenses.

Jonathan C. Ly Senior Vice President Co Lead Banker

Jonathan Ly joined the Firm in 2017 and is responsible for client development, relationship management and transaction oversight. Mr. Ly has over 25 years of experience in public finance and has served as lead banker for Midwest issuers. Prior to joining Blaylock Van, Mr. Ly served as Vice President of Public Finance at Piper Jaffray, Benchmark Securities, CastleOak Securities, and Associate with KeyBanc Capital Markets. Mr. Ly also served as an Associate and Lead Trainer at Ferrand Consulting Group, the parent company of the Munex bond structuring software. Mr. Ly started his career performing arbitrage rebate calculations at the law firm of Chapman and Cutler. Mr. Ly graduated with honors from the University of Illinois at Chicago with dual BA degrees in Finance and Economics. He holds FINRA Municipal Securities Representative (Series 52) and Uniform State Law (Series 63) licenses.

Ron Banaszek, Senior Vice President Lead Underwriter & Manager of Sales Distribution

Ron Banaszek recently joined Blaylock Van after more than 11 years with Ramirez & Co. , where he had been Municipal Institutional Sales Manager and a member of the Institutional Sales Team. Mr. Banaszek will be responsible for growing the firm's institutional relationships and distribution network. Prior to Ramirez, Mr. Banaszek worked in the Municipal Department at UBS where he was an institutional salesperson and eventually sales manager. He has over 30+ years experience in the financial services industry and over 25+ years of experience directly in the Municipal Bond Market. He has held positions in trading, underwriting, and sales of municipal securities and has worked with Public Finance bankers throughout his career providing market and client information to their issuer clients. Mr. Banaszek holds Series 7, 53 and 63 licenses.

Patrick Kutch, Analyst

Mr. Kutch joined the Blaylock Van team in June 2022. Shortly before joining the Firm, he graduated with a Bachelor of Science Degree in Business Administration with a concentration in Finance from the Marist College Honors Program. He currently holds his FINRA Series 7, 52 and Series 63.

Appendix B: Blaylock Van, LLC's MBE Certification



NEW YORK STATE **MINORITY- AND WOMEN-OWNED BUSINESS ENTERPRISE ("MWBE")** **CERTIFICATION**

Empire State Development's Division of Minority and Women's Business Development grants a

Minority Business Enterprise (MBE)

pursuant to New York State Executive Law, Article 15-A to:

Blaylock Van, LLC

Certification Awarded on: March 23, 2020

Expiration Date: March 23, 2025

File ID#: MBE- 9751





October 26, 2021

Mr. Eric Standifer
Blaylock Van, LLC
600 Lexington Avenue
3rd Floor
New York, NY 10022

e-mail: management@brv-llc.com

SUBJECT: CITY OF ORLANDO M/WBE CERTIFICATION AWARD LETTER

Dear Mr. Standifer:

We are pleased to inform you that Blaylock Van, LLC has been certified as a MBE by the City of Orlando. This certification is valid until 10/31/2023. This Certification is specifically for the approved line(s) of business specified and does not automatically certify your company in any other commodity or service. Blaylock Van, LLC will be listed in the City of Orlando's MWBE Directory with the certified line(s) business listed on the following page. The directory can be accessed via the City's internet at link provided below.

<https://cityoforlando.mwdbe.com/directory.asp>

As a condition of continued certification you must file a Re-Certification Application not less than sixty (60) days prior to the date of expiration of the existing certification. Failure to file this application will result in the termination of your certification. However, if at any time the ownership, control, location and/or minority/women-owned business status of your firm changes, the City of Orlando MBE Official should be notified immediately of the changes.

Please be advised that all M/WBE provisions of Chapter 57, Articles II & III of the Code of the City of Orlando must be maintained in order for your firm to retain its M/WBE Certification status. Be advised that failure to maintain compliance with the above noted requirements will result in termination of certification.

Your firm's participation on City of Orlando contracts will be credited only toward MBE goals for the certified line(s) of business listed. While your participation on City of Orlando contracts is not limited to your certified line(s) of business, credit towards MBE goals will be given only for work done in the area(s) which your firm is certified for.

Thank you for your continued interest in the City of Orlando's program.

Sincerely,

Janeiro Coulter

Janeiro Coulter, MBE Division Manager

EXECUTIVE OFFICES • MINORITY BUSINESS ENTERPRISE DIVISION

Orlando City Hall • 400 South Orange Avenue • Eighth Floor

PO Box 4990 • Orlando, FL 32802-4990

P 407.246.2623 • F 407.245.0000 • cityoforlando.net



CITY OF ORLANDO M/WBE CERTIFICATION AWARD LETTER

EXPIRATION DATE: 10/31/2023

CERTIFICATION NUMBER: 20104394

CERTIFICATION TYPE: MBE

COMPANY NAME: Blaylock Van, LLC
600 Lexington Avenue
3rd Floor
New York, NY 10022

OWNER NAME: ERIC STANDIFER

CERTIFIED LINE(S) OF BUSINESS/SPECIALTY AREA(S):

Broker Deal and Investment Bank

EXECUTIVE OFFICES • MINORITY BUSINESS ENTERPRISE DIVISION

Orlando City Hall • 400 South Orange Avenue • Eighth Floor
PO Box 4990 • Orlando, FL 32802-4990
P 407.246.2623 • F 407.245.0000 • cityoforlando.net



THIS CERTIFIES THAT

Blaylock Van, LLC

dba Blaylock Van, LLC

* Nationally certified by the: **WESTERN REGIONAL MINORITY SUPPLIER DEVELOPMENT COUNCIL**

*NAICS Code(s): 523110; 523120

* Description of their product/services as defined by the North American Industry Classification System (NAICS)

05/24/2023

Issued Date

WR01510

Certificate Number

05/31/2024

Expiration Date


Ying McGuire
NMSDC CEO and President


Cecil Plummer, President

By using your password (NMSDC issued only), authorized users may log into NMSDC Central to view the entire profile: <http://nmsdc.org>

Certify, Develop, Connect, Advocate.

* MBEs certified by an Affiliate of the National Minority Supplier Development Council, Inc.®

Role	Method	Sale Date	Issuer	Issue Name	Par Amount (\$M)	Orders (\$M)	Orders (Retail)	Rating (M/S/F)	TIC	Gross Spread
CO-MGR	N	6/28/2023	The Commonwealth Of Massachusetts	General Obligation Bonds	970.000	3.000	-	Aa1/AA+/AA+	N/A	3.61
CO-MGR	N	6/28/2023	The Commonwealth Of Massachusetts	General Obligation Refunding Bonds	270.955	-	-	Aa1/AA+/AA+	N/A	3.61
CO-MGR	N	6/1/2023	City Of New York	General Obligation Bonds	36.145	-	-	Aa2/AA/AA	N/A	9.00
CO-MGR	N	6/1/2023	City Of New York	General Obligation Bonds	150.695	-	-	Aa2/AA/AA	N/A	0.58
CO-MGR	N	6/1/2023	City Of New York	General Obligation Bonds	1101.655	5.000	-	Aa2/AA/AA	N/A	3.97
CO-MGR	N	6/1/2023	City Of New York	General Obligation Bonds	271.380	-	-	Aa2/AA/AA	N/A	3.97
CO-MGR	N	6/1/2023	State Of Connecticut	General Obligation Bonds	265.855	-	-	Aa3/Aa-/AA-	N/A	1.52
CO-MGR	N	6/1/2023	State Of Connecticut	Taxable General Obligation Bonds	350.000	3.000	-	Aa3/Aa-/AA-	N/A	1.52
CO-MGR	N	6/1/2023	State Of Connecticut	General Obligation Bonds	100.000	-	-	Aa3/Aa-/AA-	N/A	2.21
CO-MGR	N	4/25/2023	City of Norfolk	General Obligation Capital Improvement Bonds	15.070	-	-	Aa2/AAA/NR	N/A	0.63
CO-MGR	N	4/25/2023	City of Norfolk	General Obligation Capital Improvement Bonds	108.800	-	-	Aa2/AAA/NR	N/A	1.00
CO-MGR	N	4/19/2023	State Of Illinois	General Obligation Bonds	1161.210	-	-	A3/A-/BBB+	N/A	4.04
CO-MGR	N	4/19/2023	State Of Illinois	General Obligation Bonds	150.000	-	-	A3/A-/BBB+	N/A	4.04
CO-MGR	N	4/19/2023	State Of Illinois	General Obligation Bonds	1000.000	1.000	1.000	A3/A-/BBB+	N/A	3.31
CO-MGR	N	3/30/2023	City Of New York	General Obligation Bonds	950.000	0.750	0.750	Aa2/AA/AA	N/A	3.97
CO-MGR	N	3/9/2023	District Of Columbia	General Obligation Refunding Bonds	239.055	-	-	Aaa/AA+/AA+	N/A	2.30
CO-MGR	N	3/8/2023	State Of California	Various Purpose General Obligation Bonds	1804.055	-	-	Aa2/AA-/AA	N/A	3.20
CO-MGR	N	3/7/2023	City Of Pittsburgh	General Obligation Bonds	57.825	-	-	NR/AA-/AA-	N/A	0.54
CO-MGR	N	2/23/2023	City Of New York	General Obligation Bonds	114.155	-	-	Aa2/AA/AA	N/A	3.97
CO-MGR	N	2/23/2023	City Of New York	General Obligation Bonds	574.165	-	-	Aa2/AA/AA	N/A	3.97
CO-MGR	N	12/9/2022	City Of Chicago	General Obligation Bonds	20.110	-	-	Baa3/BBB+/BBB	N/A	3.20
CO-MGR	N	12/9/2022	City Of Chicago	General Obligation Bonds	503.690	2.000	-	Baa3/BBB+/BBB	N/A	3.20
CO-MGR	N	12/8/2022	Dallas College (Dallas County, Texas)	General Obligation Bonds	325.845	6.000	-	NR/AAA/AAA	N/A	0.74
CO-SR	N	12/1/2022	State Of Connecticut	General Obligation Refunding Bonds	262.365	3.250	-	Aa3/AA-/AA-	N/A	4.95
CO-SR	N	12/1/2022	State Of Connecticut	General Obligation Bonds	250.000	-	-	Aa3/AA-/AA-	N/A	4.95
CO-SR	N	12/1/2022	State Of Connecticut	General Obligation Bonds	400.000	-	-	Aa3/AA-/AA-	N/A	1.09
CO-SR	N	10/26/2022	City Of Atlanta	Various Purpose General Obligation Bonds	3.700	-	-	Aa1/NR/AA+	N/A	3.56
CO-SR	N	10/26/2022	City Of Atlanta	General Obligation Public Improvement Bonds	36.620	-	-	Aa1/NR/AA+	N/A	3.56
CO-SR	N	10/26/2022	City Of Atlanta	General Obligation Public Improvement Bonds	369.380	9.450	-	Aa1/NR/AA+	N/A	3.56
CO-MGR	N	10/5/2022	City Of New York	General Obligation Bonds	950.000	2.000	2.000	Aa2/AA/AA-	N/A	3.97
CO-MGR	N	9/14/2022	Los Angeles Community College District	2016 Election General Obligation Bonds	100.000	13.000	13.000	Aaa/AA+/NR	N/A	2.50
CO-MGR	N	9/14/2022	Los Angeles Community College District	2016 Election General Obligation Bonds	300.000	-	-	Aaa/AA+/NR	N/A	0.75
CO-MGR	N	9/8/2022	State Of California	General Obligation Bonds	1028.065	-	-	Aa2/AA-/AA	N/A	3.23
CO-MGR	N	9/8/2022	State Of California	General Obligation Bonds	1288.620	6.000	-	Aa2/AA-/AA	N/A	3.23
CO-MGR	N	8/18/2022	City Of New York	General Obligation Bonds	950.000	9.000	-	Aa2/AA/AA-	N/A	7.94
CO-MGR	N	8/17/2022	Community Unit School District Number 201-U (Crete-Monee)	General Obligation School Bonds	5.940	0.500	-	NR/AA/A/NR	N/A	5.43
CO-MGR	N	8/17/2022	Community Unit School District Number 201-U (Crete-Monee)	General Obligation Limited Tax School Bonds	12.885	-	-	NR/AA/A/NR	N/A	5.43
CO-MGR	N	7/29/2022	City Of Grand Prairie, Texas	General Obligation Pension Bonds	77.515	-	-	NR/AAA/AA+	N/A	3.84
CO-MGR	N	5/26/2022	State Of Connecticut	General Obligation Bonds	350.000	6.000	-	Aa3/A+/AA-	N/A	1.52
CO-MGR	N	5/26/2022	State Of Connecticut	General Obligation Refunding Bonds	568.870	120.000	40.000	Aa3/A+/AA-	N/A	4.04
CO-MGR	N	5/26/2022	State Of Connecticut	General Obligation Bonds	150.000	-	-	Aa3/A+/AA-	N/A	4.04
CO-MGR	N	5/18/2022	City Of New York	General Obligation Bonds	130.000	-	-	Aa2/AA/AA-	N/A	3.51
CO-MGR	N	5/18/2022	City Of New York	General Obligation Bonds	950.000	-	-	Aa2/AA/AA-	N/A	3.97

CO-MGR	N	4/14/2022	City Of Kansas City, Missouri	Special Obligation Refunding & Improvement Bonds	167.455	8.000		A2/AA-/NR	N/A	GEN2126726Q1
CO-MGR	N	4/14/2022	City Of Kansas City, Missouri	Special Obligation Bonds	24.355	2.325		A2/AA-/NR	N/A	3.63
CO-MGR	N	4/14/2022	City Of Kansas City, Missouri	Special Obligation Bonds	2.920	-	-	A2/AA-/NR	N/A	3.63
CO-MGR	N	3/31/2022	University Of Connecticut	General Obligation Bonds	220.000	-	-	Aa3/A+/A+	N/A	6.23
CO-MGR	N	3/24/2022	City Of New York	General Obligation Bonds	240.855	6.100		Aa2/AA/AA-	N/A	3.97
CO-MGR	N	3/24/2022	City Of New York	General Obligation Bonds	660.050	-	-	Aa2/AA/AA-	N/A	3.97
Joint Lead	N	3/3/2022	Fort Bend County	Unlimited Tax Road Bonds	43.655	6.622		Aa1/NR/AA+	N/A	3.45
Joint Lead	N	3/3/2022	Fort Bend County	Certificates Of Obligation	33.650	4.833		Aa1/NR/AA+	N/A	3.45
CO-MGR	N	1/26/2022	Community Unit School District Number 201-U (Crete-Monee)	General Obligation Refunding School Bonds	7.090	-	-	NR/AA/A/NR	N/A	7.22
CO-MGR	N	1/26/2022	Community Unit School District Number 201-U (Crete-Monee)	General Obligation Limited Tax School Bonds	7.015	-	-	NR/AA/A/NR	N/A	7.22
CO-MGR	N	1/26/2022	Community Unit School District Number 201-U (Crete-Monee)	Taxable General Obligation Refunding School Bonds	16.190	0.500		NR/AA/A/NR	N/A	7.22
CO-MGR	N	1/26/2022	Community Unit School District Number 201-U (Crete-Monee)	General Obligation Limited Tax School Bonds	39.500	-	-	NR/AA/A/NR	N/A	7.22
CO-SR	N	12/2/2021	Harris County, Texas	Permanent Improvement Refunding Bonds	98.295	10.200		Aaa/NR/AAA	N/A	2.89
CO-MGR	N	11/23/2021	The Indianapolis Local Public Improvement Bond Bank	Local Public Improvement Bonds	36.265	2.755		Aaa/NR/NR	N/A	2.16
CO-MGR	N	11/3/2021	Texas Public Finance Authority	General Obligation & Refunding Bonds	582.315	4.000		NR/AAA/AAA	N/A	2.87
CO-MGR	N	11/3/2021	Texas Public Finance Authority	General Obligation & Refunding Bonds	249.800	23.000	-	NR/AAA/AAA	N/A	2.87
CO-MGR	N	9/15/2021	State Of California	Various Purpose General Obligation Bonds	1043.470	6.000		Aa2/AA-/AA	N/A	5.20
CO-MGR	N	9/15/2021	State Of California	Various Purpose General Obligation Refunding Bonds	1051.600	-	-	Aa2/AA-/AA	N/A	5.20
CO-MGR	N	8/26/2021	City Of Corpus Christi	General Improvement Bonds	1.985	-	-	Aa2/AA/AA	N/A	4.23
CO-MGR	N	8/26/2021	City Of Corpus Christi	General Improvement Bonds	10.265	-	-	Aa2/AA/AA	N/A	4.23
CO-MGR	N	8/26/2021	City Of Corpus Christi	General Improvement Bonds	40.040	18.810		Aa2/AA/AA	N/A	4.23
CO-MGR	N	8/26/2021	City Of Corpus Christi	Combination Tax And Ltd Pledged Certificates Of Obligation	8.940	-	-	Aa2/AA/AA	N/A	4.23
CO-MGR	N	8/26/2021	City Of Corpus Christi	Combination Tax And Ltd Pledged Certificates Of Obligation	8.485	-	-	Aa2/AA/AA	N/A	4.23
CO-MGR	N	8/19/2021	Chicago Park District	General Obligation Limited Tax Bonds	145.885	7.500		NR/AA-/AA-	N/A	3.87
CO-MGR	N	8/19/2021	City Of New York	General Obligation Bonds	950.000	6.000		Aa2/AA/AA-	N/A	3.97
CO-MGR	N	8/19/2021	City Of New York	General Obligation Bonds	89.505	-	-	Aa2/AA/AA-	N/A	3.97
CO-MGR	N	5/5/2021	Mobile County, Alabama	General Obligation Improvement Warrants	17.010	3.645		Aa1/AA/NR	N/A	3.70
CO-MGR	N	4/20/2021	State Of California	General Obligation Bonds	1076.210	-	-	Aa2/AA-/AA	N/A	2.54
CO-MGR	N	4/7/2021	City Of Kansas City, Missouri	Special Obligation Bonds	4.680	-	-	A2/AA-/NR	N/A	4.27
CO-MGR	N	4/7/2021	City Of Kansas City, Missouri	Special Obligation Bonds	48.885	3.000		A2/AA-/NR	N/A	4.27
CO-MGR	N	4/7/2021	City Of Kansas City, Missouri	Special Obligation Bonds	68.155	3.000		A2/AA-/NR	N/A	4.27
CO-MGR	N	4/7/2021	City Of Kansas City, Missouri	Special Obligation Bonds	56.555	-	-	A2/AA-/NR	N/A	4.27
CO-MGR	N	3/17/2021	State Of Illinois	General Obligation Refunding Bonds	257.960	-	-	Baa3/BBB-/BBB-	N/A	2.70
CO-MGR	N	3/17/2021	State Of Illinois	General Obligation Refunding Bonds	150.000	-	-	Baa3/BBB-/BBB-	N/A	2.70
CO-MGR	N	3/17/2021	State Of Illinois	General Obligation Refunding Bonds	850.000	8.000		Baa3/BBB-/BBB-	N/A	2.70
CO-MGR	N	3/4/2021	City Of New York	General Obligation Bonds	107.655	-	-	Aa2/AA/AA-	N/A	3.97
CO-MGR	N	3/4/2021	City Of New York	General Obligation Bonds	107.655	-	-	Aa2/AA/AA-	N/A	3.97
CO-MGR	N	3/4/2021	City Of New York	General Obligation Bonds	900.000	5.000		Aa2/AA/AA-	N/A	3.14
CO-MGR	N	1/27/2021	City Of Missouri City	General Obligation And Refunding Bonds	8.620	0.925		Aa2/NR/NR	N/A	3.67
CO-MGR	N	1/27/2021	City Of Missouri City	Combination Tax And Revenue Certificates Of Obligation	11.180	2.490		Aa2/NR/NR	N/A	3.67
CO-MGR	N	1/27/2021	Metropolitan Government Of Nashville And Davidson County	General Obligation Refunding Bonds	497.030	12.955	-	Aa2/AA/NR	N/A	3.11
CO-MGR	N	1/27/2021	Metropolitan Government Of Nashville And Davidson County	General Obligation Refunding Bonds	131.295	46.265	-	Aa2/AA/NR	N/A	1.45

CO-MGR	N	12/17/2020	City Of New York	Broward County Board of County Commissioners General Obligation Bonds	171.015	-	-	Aa2/AA/AA-	N/A	GEN2126726Q1
CO-MGR	N	12/17/2020	City Of New York	General Obligation Bonds	1328.985	4.000		Aa2/AA/AA-	N/A	4.02
CO-MGR	N	12/15/2020	Community Unit School District Number 201-U (Crete-Monee)	GO Refunding School Bonds	3.510	-	-	NR/AA/A/AA/A	N/A	3.50
CO-MGR	N	12/15/2020	Community Unit School District Number 201-U (Crete-Monee)	GO Limited Tax School Bonds	10.175	3.570		NR/AA/A/AA/A	N/A	6.12
CO-MGR	N	12/15/2020	Community Unit School District Number 201-U (Crete-Monee)	GO Limited Tax School Bonds	6.210	4.070	-	NR/AA/A/AA/A	N/A	6.12
CO-MGR	N	12/15/2020	State Of Ohio	Infrastructure Improvement Go Bonds	145.240	4.000		Aa1/AA+/AA+	N/A	4.25
CO-MGR	N	12/15/2020	State Of Ohio	Conservation Projects Go Refunding Bonds	14.610	-	-	Aa1/AA+/AA+	N/A	4.25
CO-MGR	N	12/15/2020	State Of Ohio	Conservation Projects Go Bonds	42.175	-	-	Aa1/AA+/AA+	N/A	4.25
CO-MGR	N	12/9/2020	University Of Connecticut	General Obligation Bonds	279.315	5.000		A1/A+/A	N/A	5.60
CO-MGR	N	11/19/2020	City And County Of San Francisco	General Obligation Bonds (Affordable Housing - 2016) New Jersey Covid-19 General Obligation Emergency Bonds	102.580	4.000		Aaa/AAA/AA+	N/A	1.50
CO-MGR	N	11/19/2020	State Of New Jersey		3672.360	0.750		A3/BBB+/A-	N/A	6.00
CO-MGR	N	10/30/2020	Los Angeles Community College District	2020 General Obligation Refunding Bonds	1793.805	-	-	Aaa/AA+/NR	N/A	2.29
CO-MGR	N	10/28/2020	Los Angeles Unified School District	General Obligation Bonds, Series 2020 C	149.870	10.000		Aa3/NR/AA+	N/A	6.04
CO-MGR	N	10/28/2020	Los Angeles Unified School District	General Obligation Bonds, Series 2020 C	907.109	3.000		Aa3/NR/AA+	N/A	6.04
CO-MGR	N	10/8/2020	City Of New York	General Obligation Bonds 2021 Series C	900.000	2.000		Aa2/AA/AA	N/A	3.14
CO-MGR	N	10/8/2020	City Of New York	General Obligation Bonds 2021 Series A	21.735	-	-	Aa2/AA/AA	N/A	9.00
CO-MGR	N	10/8/2020	City Of New York	General Obligation Bonds 2021 Series J	120.805	-	-	Aa2/AA/AA	N/A	4.50
CO-MGR	N	10/8/2020	City Of New York	General Obligation Bonds 2021 Series I	80.485	-	-	Aa2/AA/AA	N/A	3.97
CO-MGR	N	8/20/2020	San Francisco Unified School District	General Obligation Refunding Bonds	166.285			Aa2/AA/NR	N/A	3.27
CO-MGR	N	8/20/2020	San Francisco Unified School District	General Obligation Bonds	280.000	4.000		Aa2/AA/NR	N/A	3.27
CO-MGR	N	8/19/2020	San Francisco Bay Area Rapid Transit District	General Obligation Bonds	74.995	15.000		Aaa/NR/NR	N/A	1.28
CO-MGR	N	8/19/2020	San Francisco Bay Area Rapid Transit District	General Obligation Bonds	625.005	5.000		Aaa/AAA/NR	N/A	1.47
CO-MGR	N	8/4/2020	City Of Pittsburgh	General Obligation Bonds	122.505	29.230	-	NR/AA-/AA-	N/A	1.72
CO-MGR	N	8/4/2020	City Of Pittsburgh	General Obligation Bonds	32.580	2.150	-	NR/AA-/AA-	N/A	1.72
CO-MGR	N	5/20/2020	Fort Bend County	General Obligation Refunding Bonds	117.310	26.060	-	Aa1/NR/AA+	N/A	3.48
CO-MGR	N	5/20/2020	Fort Bend County	Unlimited Tax Road Bonds	54.235	4.000		Aa1/NR/AA+	N/A	3.48
CO-MGR	N	5/20/2020	Fort Bend County	Certificates Of Obligation Special Tax Obligation Bonds (Transportation Infrastructure Purposes)	31.455	-	-	Aa1/NR/AA+	N/A	3.48
CO-MGR	N	5/20/2020	State Of Connecticut		850.000	4.000		A1/A/A+	N/A	3.45
CO-MGR	N	4/23/2020	Township High School District Number 227	General Obligation School Bonds	30.855	1.500		NR/AA/NR	N/A	2.16
CO-MGR	N	4/17/2020	State Of California	Various Purpose General Obligation Refunding Bonds	821.380	2.000		Aa2/AA-/AA	N/A	4.23
CO-MGR	N	4/17/2020	State Of California	Various Purpose General Obligation Bonds	617.735	1.000		Aa2/AA-/AA	N/A	4.23
CO-MGR	N	3/11/2020	State Of California	General Obligation Refunding Bonds	1076.565	2.000		Aa2/AA-/AA	N/A	3.23
CO-MGR	N	3/11/2020	State Of California	General Obligation Bonds	1019.085	-	-	Aa2/AA-/AA	N/A	3.23
CO-MGR	N	2/13/2020	City Of New York	General Obligation Bonds	800.000	1.400		Aa1/AA/AA	N/A	10.08
CO-MGR	N	2/13/2020	City Of New York	General Obligation Bonds	59.745	-	-	Aa1/AA/AA	N/A	6.00
CO-MGR	N	12/13/2019	State Of Connecticut	General Obligation Bonds	194.640	3.000	-	A1/A/A+	N/A	4.30
CO-MGR	N	12/13/2019	State Of Connecticut	General Obligation Bonds	700.000	-	-	A1/A/A+	N/A	19.10
SR-MGR	N	11/6/2019	City Of New Haven	General Obligation Bonds	60.700	241.690	-	Baa1/BBB+/BBB	N/A	5.29
CO-MGR	N	10/4/2019	City Of New York	General Obligation Bonds	850.000	2.150	0.150	Aa1/AA/AA	N/A	4.60
CO-MGR	N	9/6/2019	State Of California	Various Purpose General Obligation Refunding Bonds	1741.210	10.000	-	Aa3/AA-/AA	N/A	2.23
CO-MGR	N	9/6/2019	State Of California	Various Purpose General Obligation Bonds	664.110	0.990	0.990	Aa3/AA-/AA	N/A	2.23
CO-MGR	N	8/8/2019	City Of New York	General Obligation Bonds	196.920	5.000	-	Aa1/AA/AA	N/A	4.25
CO-MGR	N	7/24/2019	City Of New York	General Obligation Bonds	800.000	5.000	-	Aa1/AA/AA	N/A	10.08
CO-MGR	N	7/24/2019	City Of New York	General Obligation Bonds	27.435	2.500	-	Aa1/AA/AA	N/A	4.25
CO-MGR	N	7/24/2019	City Of New York	General Obligation Bonds	83.165	-	-	Aa1/AA/AA	N/A	4.25

CO-MGR	N	7/24/2019	City Of New York	Broward County Board of County Commissioners	27.340	-	-	Aa1/AA/AA	N/A	GEN2126726Q1 4.23
CO-MGR	N	3/29/2019	State Of Connecticut	Taxable General Obligation Bonds	250.000	5.000	-	A1/A/A+	N/A	17.34
CO-MGR	N	3/29/2019	State Of Connecticut	General Obligation Bonds	750.000	12.700	-	A1/A/A+	N/A	3.86
CO-MGR	N	3/8/2019	City Of New York	General Obligation Bonds	72.070	-	-	Aa1/AA/AA	N/A	4.25
CO-MGR	N	3/8/2019	City Of New York	General Obligation Bonds	843.155	2.150	0.150	Aa1/AA/AA	N/A	3.97
CO-MGR	N	3/7/2019	State Of California	General Obligation Bonds	2291.850	16.000	-	Aa3/AA-/AA-	N/A	0.84
CO-MGR	N	2/15/2019	City Of Pittsburgh	General Obligation Bonds	55.420	6.500	-	A1/AA-/NR	N/A	0.68
Joint Lead	N	11/29/2018	City Of New York	General Obligation Bonds	154.530	5.825	0.825	Aa2/AA/AA	N/A	3.97
Joint Lead	N	11/29/2018	City Of New York	General Obligation Bonds	700.000	7.000	-	Aa2/AA/AA	N/A	19.10
CO-MGR	N	9/13/2018	Chicago Housing Authority	General Obligation Bonds	225.000	35.000	-	NR/AA-/AA-	N/A	6.25
CO-MGR	N	9/13/2018	Chicago Housing Authority	General Obligation Bonds	100.000	7.500	-	NR/AA-/AA-	N/A	6.25
CO-MGR	N	8/22/2018	State Of Illinois	General Obligation Refunding Bonds	965.770	11.000	-	Baa3/BBB-/BBB	N/A	3.43
CO-MGR	N	8/16/2018	City Of Birmingham	General Obligation Refunding Bonds	77.950	11.885	-	Aa2/AA/AA-	N/A	4.20
CO-MGR	N	8/15/2018	State Of Connecticut	General Obligation Refunding Bonds	639.200	5.250	1.250	A1/A/A+	N/A	7.25
CO-MGR	N	8/15/2018	State Of Connecticut	General Obligation Bonds	250.000	4.500	-	A1/A/A+	N/A	8.67
Total:					\$58,047	\$920	\$60			
Revenue Bonds										
CO-MGR	N	8/3/2023	Dorm Authority Of The State Of New York	State Sales Tax Revenue Bonds	282.315	-	-	Aa1/AA+/NR	N/A	4.23
CO-MGR	N	8/3/2023	Dorm Authority Of The State Of New York	State Sales Tax Revenue Bonds	1400.935	-	-	Aa1/AA+/NR	N/A	4.23
CO-MGR	N	7/20/2023	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	950.000	-	-	Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	7/12/2023	Illinois Housing Development Authority	Revenue Bonds (Non-Amt, Social Bonds)	160.000	0.555	-	Aaa/NR/NR	N/A	1.03
CO-SR	N	7/11/2023	Connecticut Housing Finance Authority	Housing Mortgage Finance Program Bonds	146.830	3.035	1.000	Aaa/AAA/NR	N/A	2.34
CO-MGR	N	7/11/2023	Illinois Housing Development Authority	Revenue Bonds (Taxable, Social Bonds)	76.665	4.855	-	Aaa/NR/NR	N/A	16.23
CO-MGR	N	6/22/2023	Essex County Improvement Authority (County Of Essex, New Jersey)	County Guaranteed Lease Revenue Project Notes (Essex County Family Court Building Project)	118.835	1.000	1.000	MIG 1/NR/NR	N/A	0.51
CO-MGR	N	6/8/2023	Harris County, Texas	Toll Road First Lien Revenue Refunding Bonds	119.495	-	-	Aa2/NR/AA	N/A	3.35
CO-MGR	N	5/24/2023	Metropolitan Washington Airports Authority	Airport System Revenue And Refunding Bonds	436.225	-	-	Aa3/AA-/AA-	N/A	1.62
CO-MGR	N	5/11/2023	Dorm Authority Of The State Of New York	School Districts Revenue Bond Financing Program Revenue Bonds	103.980	-	-	Aa3/AA/AA-	N/A	3.29
CO-MGR	N	5/11/2023	Dorm Authority Of The State Of New York	School Districts Revenue Bond Financing Program Revenue Bonds	65.365	-	-	AA/AA/AA-	N/A	3.29
CO-MGR	N	5/11/2023	Dorm Authority Of The State Of New York	School Districts Revenue Bond Financing Program Revenue Bonds	119.125	-	-	Aa2/AA/AA-	N/A	3.29
CO-MGR	N	5/11/2023	Dorm Authority Of The State Of New York	School Districts Revenue Bond Financing Program Revenue Bonds	786.735	-	-	Aa3/AA/AA-	N/A	3.29
CO-MGR	N	5/4/2023	City of Chicago	Second Lien Wastewater Transmission Revenue Bonds	192.470	-	-	NR/AA/NR	N/A	4.56
CO-MGR	N	5/4/2023	City of Chicago	Second Lien Wastewater Transmission Revenue Bonds	260.105	-	-	NR/AA/NR	N/A	4.56
CO-SR	N	5/3/2023	City of Chicago	Second Lien Water Revenue Bonds	254.210	3.800	0.800	NR/AA/NR	N/A	4.56
CO-SR	N	5/3/2023	City of Chicago	Second Lien Water Revenue Bonds	322.205	3.000	-	NR/AA/NR	N/A	4.56
CO-MGR	N	5/2/2023	Sales Tax Securitization Corporation	Sales Tax Securitization Bonds	42.270	-	-	NR/AA-/AA	N/A	4.19
CO-MGR	N	5/2/2023	Sales Tax Securitization Corporation	Second Lien Sales Tax Securitization Bonds	176.815	-	-	NR/AA-/AA-	N/A	4.19
CO-MGR	N	4/26/2023	Connecticut Higher Education Supplemental Loan Authority	State Supported Revenue Bonds (CHESLA Loan Program)	25.805	-	-	Aa3/NR/A+	N/A	5.07
CO-MGR	N	4/19/2023	Ohio Housing Finance Agency	Residential Mortgage Revenue Bonds (Mortgage-Backed Securities Program)	149.995	0.250	0.250	Aaa/NR/NR	N/A	1.68
CO-SR	N	4/12/2023	State Public Works Board Of The State Of California	Lease Revenue Refunding Bonds (Various Capital Projects)	411.695	-	-	Aa3/A+/AA-	N/A	1.98
CO-SR	N	4/12/2023	State Public Works Board Of The State Of California	Lease Revenue Bonds (Various Capital Projects)	50.535	-	-	Aa3/A+/AA-	N/A	1.98
CO-MGR	N	3/30/2023	San Diego Public Fac Financing Auth	Senior Water Revenue Bonds	223.155	-	-	Aa2/NR/AA	N/A	1.21
CO-MGR	N	3/15/2023	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	950.000	-	-	Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	3/15/2023	New York State Mortgage Agency	Homeowner Mortgage Revenue Bonds (Social Bonds)	34.145	-	-	Aa1/NR/NR	N/A	2.34
CO-MGR	N	3/15/2023	New York State Mortgage Agency	Homeowner Mortgage Revenue Bonds (Social Bonds)	115.855	-	-	Aa1/NR/NR	N/A	2.34

				Water And Sewer System Second General Resolution	1293.395	2.000	2.000	Aa1/AA+/AA+	N/A	2.40
CO-MGR	N	3/9/2023	New York City Municipal Water Finance Authority	County Commissioners	1293.395	2.000	2.000	Aa1/AA+/AA+	N/A	2.40
CO-MGR	N	3/9/2023	Texas Natural Gas Securitization Finance Corporation	Customer Rate Relief Bonds (Winter Storm Uri)	1707.285	-	-	Aaa/NR/AAA	N/A	5.60
CO-MGR	N	3/9/2023	Texas Natural Gas Securitization Finance Corporation	Customer Rate Relief Bonds (Winter Storm Uri)	1814.465	-	-	Aaa/NR/AAA	N/A	5.60
CO-SR	N	3/9/2023	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Sales Tax Revenue Bonds	1253.750	-	-	NR/AA+/AAA	N/A	3.26
CO-MGR	N	3/8/2023	Connecticut Housing Finance Authority	Housing Mortgage Finance Program Bonds	171.715	-	-	Aaa/AAA/NR	N/A	0.59
CO-MGR	N	3/7/2023	Pennsylvania Turnpike Commission	Turnpike Subordinate Revenue Refunding Bonds	343.800	-	-	A3/A+/A	N/A	3.95
CO-MGR	N	2/23/2023	Massachusetts Housing Finance Agency	Housing Bonds	61.355	2.840	-	Aa1/AA+/NR	N/A	8.70
CO-MGR	N	2/23/2023	Massachusetts Housing Finance Agency	Housing Bonds	95.000	-	-	Aa1/AA+/NR	N/A	2.16
CO-SR	N	2/14/2023	Dallas, Texas (City of)	Waterworks and Sewer System Revenue Refunding Bonds	166.330	4.000	-	NR/AAA/AA	N/A	1.96
CO-MGR	N	2/9/2023	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	1077.595	0.100	0.100	Aa1/AAA/AAA	N/A	1.29
CO-MGR	N	2/8/2023	Massachusetts Housing Finance Agency	Housing Bonds	86.090	-	-	Aa2/AA+/NR	N/A	2.67
CO-MGR	N	2/8/2023	Massachusetts Housing Finance Agency	Housing Bonds	46.870	-	-	Aa2/AA+/NR	N/A	2.67
CO-MGR	N	1/27/2023	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	General Revenue Refunding Bonds	828.225	-	-	Aa3/AA-/AA-	N/A	1.66
CO-MGR	N	1/19/2023	Sales Tax Securitization Corporation	Sales Tax Securitization Bonds	59.352	-	-	NR/AA-/AA-	N/A	4.19
CO-MGR	N	1/19/2023	Sales Tax Securitization Corporation	Second Lien Sales Tax Securitization Bonds	364.075	-	-	NR/AA-/AA	N/A	4.19
CO-MGR	N	1/19/2023	Sales Tax Securitization Corporation	Sales Tax Securitization Bonds	101.139	-	-	NR/AA-/AA	N/A	4.19
CO-MGR	N	1/19/2023	Sales Tax Securitization Corporation	Sales Tax Securitization Bonds	75.000	-	-	NR/AA-/AA	N/A	4.19
CO-MGR	N	1/19/2023	Sales Tax Securitization Corporation	Second Lien Sales Tax Securitization Bonds	142.795	-	-	NR/AA-/AA-	N/A	4.19
CO-MGR	N	12/15/2022	Port Authority Of New York & New Jersey	Consolidated Bonds	300.000	5.000	-	Aa3/AA-/AA-	N/A	2.50
CO-MGR	N	12/15/2022	Port Authority Of New York & New Jersey	Consolidated Bonds	100.000	-	-	Aa3/AA-/AA-	N/A	8.33
CO-MGR	N	12/2/2022	New York State Housing Finance Agency	Affordable Housing Revenue Bonds	48.125	-	-	Aa2/NR/NR	N/A	2.76
CO-MGR	N	12/2/2022	New York State Housing Finance Agency	Affordable Housing Revenue Bonds	24.690	-	-	Aa2/NR/NR	N/A	2.76
CO-MGR	N	11/29/2022	New Jersey Transportation Trust Fund Authority	Transportation Program Bonds	750.000	3.250	0.250	A3/BBB+/A-	N/A	2.21
CO-MGR	N	11/21/2022	Massachusetts Housing Finance Agency	Housing Bonds	150.000	0.100	-	Aa1/AA+/NR	N/A	4.04
CO-MGR	N	11/17/2022	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	274.785	3.000	-	Aa1/AA+/AA+	N/A	3.50
CO-MGR	N	11/17/2022	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	79.210	-	-	Aa1/AA+/AA+	N/A	3.50
CO-MGR	N	11/17/2022	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	396.005	3.000	-	Aa1/AA+/AA+	N/A	3.50
CO-MGR	N	11/8/2022	St. Lawrence County Industrial Development Agency	Civic Development Corporation Revenue Bonds (St. Lawrence University Project)	42.075	11.135	-	A2/A/NR	N/A	2.97
CO-MGR	N	10/27/2022	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	950.000	-	-	Aa1/AAA/AAA	N/A	3.97
CO-SR	N	10/27/2022	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Green Bonds	412.010	2.000	2.000	AA+/AA+/AA+	N/A	3.56
CO-SR	N	10/27/2022	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Variable Rate Refunding Green Bonds	99.560	-	-	AA+/AA+/AA+	N/A	3.56
CO-SR	N	10/27/2022	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Green Bonds	188.630	0.150	0.150	AA+/AA+/AA+	N/A	3.56
CO-MGR	N	10/26/2022	Texas State Technical College System	Revenue Financing System Improvement Bonds	298.750	-	-	A1/AA/NR	N/A	0.59
CO-MGR	N	10/25/2022	Massachusetts Housing Finance Agency	Housing Bonds	78.860	-	-	Aa1/AA+/NR	N/A	0.94
CO-MGR	N	10/20/2022	Ohio Housing Finance Agency	Residential Mortgage Revenue Bonds (Mortgage-Backed Securities Program)	149.995	-	-	Aaa/NR/NR	N/A	1.68
CO-SR	N	10/19/2022	Connecticut Housing Finance Authority	Housing Mortgage Finance Program Bonds	117.815	2.000	-	Aaa/AAA/NR	N/A	4.71
CO-MGR	N	10/19/2022	Massachusetts Housing Finance Agency	Housing Bonds	73.610	-	-	Aa2/AA+/NR	N/A	2.56
CO-MGR	N	10/19/2022	Massachusetts Housing Finance Agency	Housing Bonds	65.465	-	-	Aa2/AA+/NR	N/A	2.56

CO-MGR	N	10/6/2022	California Earthquake Authority	Broward County Board of Commissioners	500.000	5.000	-	NR/NR/A-	N/A	GEN2126726Q1
CO-MGR	N	9/27/2022	Board Of Regents Of The Texas A&M University System	Revenue Financing System Bonds	208.520	6.000	-	Aaa/AAA/AAA	N/A	4.56
CO-MGR	N	9/15/2022	Port Authority Of New York & New Jersey	Consolidated Bonds	50.000	3.000	-	Aa3/AA-/A+	N/A	3.75
CO-MGR	N	9/15/2022	Port Authority Of New York & New Jersey	Consolidated Bonds	250.000	0.100	-	Aa3/AA-/A+	N/A	11.56
CO-MGR	N	9/15/2022	Port Authority Of New York & New Jersey	Consolidated Bonds	150.000	3.000	-	Aa3/AA-/A+	N/A	2.70
CO-MGR	N	9/13/2022	Pennsylvania Turnpike Commission	Turnpike Revenue Refunding Bonds	254.730	-	-	A1/NR/AA-	N/A	3.47
CO-MGR	N	9/8/2022	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Green Bonds	497.735	-	-	NR/AA+/AA+	N/A	3.72
CO-MGR	N	9/8/2022	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Refunding Green Bonds	20.202	-	-	NR/AA+/AA+	N/A	3.72
CO-MGR	N	9/8/2022	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Refunding Green Bonds	230.745	6.000	-	NR/AA+/AA+	N/A	3.72
CO-MGR	N	8/26/2022	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	38.240	-	-	Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	8/26/2022	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	829.230	5.000	-	Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	8/18/2022	The Regents Of The University Of California (Forward Delivery)	General Revenue Bonds	318.625	-	-	Aa2/AA/AA	N/A	3.36
CO-MGR	N	8/17/2022	The Regents Of The University Of California	General Revenue Bonds	65.240	-	-	Aa2/AA/AA	N/A	42.97
CO-MGR	N	8/17/2022	The Regents Of The University Of California	General Revenue Bonds	702.300	-	-	Aa2/AA/AA	N/A	3.36
CO-MGR	N	7/21/2022	New York City Transitional Finance Authority	Building Aid Revenue Bonds	492.295	7.000	-	Aa2/Aa2/AA	N/A	0.55
CO-MGR	N	7/20/2022	Metropolitan Water District Of Southern California	Water Revenue Refunding Bonds	253.365	15.000	-	Aa1/AAA/NR	N/A	1.75
CO-SR	N	7/7/2022	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Sales Tax Revenue Bonds (TBTA Capital Lockbox - City Sales Tax)	700.000	25.040	25.040	NR/AA+/AAA	N/A	3.82
CO-MGR	N	6/30/2022	New York City Transitional Finance Authority	Future Tax Secured Tax-Exempt Subordinated Bonds	950.000	-	-	Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	6/28/2022	Alabama Corrections Institute Finance Authority	Revenue Bonds	509.015	11.000	-	Aa2/AA-/AA	N/A	3.71
CO-MGR	N	6/28/2022	Connecticut Housing Finance Authority	Housing Mortgage Finance Program Bonds (Social Bonds)	48.500	-	-	Aaa/AAA/NR	N/A	3.16
CO-MGR	N	6/8/2022	Massachusetts Housing Finance Agency	Housing Bonds (Non-Amt) (Sustainability Bonds)	1.990	-	-	Aa2/AA/NR	N/A	2.98
CO-MGR	N	6/8/2022	Massachusetts Housing Finance Agency	Housing Bonds (Non-Amt) (Sustainability Bonds)	49.115	-	-	Aa2/AA/NR	N/A	2.98
CO-MGR	N	6/8/2022	Massachusetts Housing Finance Agency	Housing Bonds (Non-Amt) (Sustainability Bonds)	23.850	-	-	Aa2/AA/NR	N/A	2.98
CO-MGR	N	6/2/2022	New York State Housing Finance Agency	Affordable Housing Revenue Bonds	90.780	-	-	Aa2/NR/NR	N/A	2.45
CO-MGR	N	6/2/2022	New York State Housing Finance Agency	Affordable Housing Revenue Bonds	24.785	-	-	Aa2/NR/NR	N/A	2.45
CO-MGR	N	6/2/2022	New York State Housing Finance Agency	Affordable Housing Revenue Bonds	260.710	-	-	Aa2/NR/NR	N/A	2.45
CO-MGR	N	6/2/2022	New York State Housing Finance Agency	Affordable Housing Revenue Bonds	86.770	4.535	0.200	Aa2/NR/NR	N/A	2.45
CO-MGR	N	5/25/2022	Massachusetts Housing Finance Agency	Single Family Housing Revenue Bonds (Non-Amt)(Social Bonds)	59.395	4.710		Aa1/AA+/NR	N/A	2.68
CO-MGR	N	5/19/2022	Connecticut Higher Education Supplemental Loan Authority	Chelsa Loan Program (State Supported Rev Bonds)	61.760	4.000		Aa3/NR/A+	N/A	2.56
CO-MGR	N	5/19/2022	Connecticut Higher Education Supplemental Loan Authority	Chelsa Loan Program (State Supported Rev Bonds)	13.200	1.000		Aa3/NR/A+	N/A	2.56
CO-MGR	N	5/19/2022	Ohio Housing Finance Agency	Residential Mortgage Rev Bonds (Mortgaged-Backed Securities Program) (Social Bonds) (Non-Amt)	130.000	-	-	Aaa/NR/NR	N/A	1.32
CO-MGR	N	5/18/2022	East Bay Municipal Utility District	Water System Revenue Bonds	103.850	-	-	Aaa/AAA/NR	N/A	6.00
CO-MGR	N	5/18/2022	East Bay Municipal Utility District	Water System Revenue Bonds	72.105	-	-	Aaa/AAA/NR	N/A	6.00
CO-MGR	N	5/18/2022	East Bay Municipal Utility District	Water System Revenue Bonds (Green Bonds)	133.950	6.995		Aaa/AAA/NR	N/A	6.00
CO-MGR	N	5/5/2022	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Bonds	927.950	6.000	-	NR/AA+/AA+	N/A	3.48
CO-MGR	N	4/6/2022	Illinois Housing Development Authority	Revenue Bonds (Non-Amt, Social Bonds)	20.000	5.165	-	Aaa/NR/NR	N/A	9.21
CO-MGR	N	4/6/2022	Illinois Housing Development Authority	Revenue Bonds (Non-Amt, Social Bonds)	125.000	4.000	-	Aaa/NR/NR	N/A	0.81
CO-MGR	N	4/5/2022	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Refunding Bonds (Forward Delivery)	1000.015	-	-	NR/AA+/AA+	N/A	3.59
CO-MGR	N	3/31/2022	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	950.000	-	-	Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	3/29/2022	California Health Facilities Financing Authority	NPLH Senior Revenue Bonds (Social Bonds)	1050.000	9.000		Aa3/AA-/AA-	N/A	4.89
CO-MGR	N	3/15/2022	Dorm Authority Of The State Of New York	State Personal Inc Tax Rev Bonds	2314.025	0.200		NR/AA+/AA+	N/A	3.40

CO-MGR	N	3/10/2022	New York City Transitional Finance Authority	Broward County Board of County Commissioners Future Tax Secured Subordinate Bonds	62.560	6.000	Aa1/AAA/AAA	N/A	GEN2126726Q1
CO-MGR	N	3/10/2022	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	850.320	5.000	Aa1/AAA/AAA	N/A	1.23
CO-MGR	N	3/8/2022	Connecticut Housing Finance Authority	Housing Mortgage Finance Program Bonds	171.715	-	Aaa/AAA/NR	N/A	0.59
CO-MGR	N	3/2/2022	Connecticut Housing Finance Authority	Housing Mortgage Finance Program Bonds (Social Bonds)	8.905	-	Aaa/AAA/NR	N/A	2.24
CO-MGR	N	3/2/2022	Connecticut Housing Finance Authority	Housing Mortgage Finance Program Bonds (Social Bonds)	74.415	-	Aaa/AAA/NR	N/A	2.24
CO-MGR	N	3/2/2022	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	778.970	10.000	Aa1/AA+/AA+	N/A	2.89
CO-MGR	N	2/4/2022	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	150.000	3.000	Aa1/AA+/AA+	N/A	4.04
CO-MGR	N	2/3/2022	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	350.000	5.000	Aa1/AA+/AA+	N/A	1.52
CO-MGR	N	2/2/2022	Massachusetts State College Building Authority	Project & Refunding Revenue Bonds	102.860	3.050	Aa2/AA-/NR	N/A	2.56
CO-MGR	N	2/1/2022	City Of San Antonio, Texas Electric And Gas Systems	Fixed & Variable Rate Junior Lien Revenue Refunding Bonds	359.465	5.000	Aa3/A+/AA-	N/A	3.18
CO-MGR	N	1/27/2022	Ohio Housing Finance Agency	Residential Mortgage Rev Bonds (Mortgaged-Backed Securities Program) (Social Bonds)	195.000	0.100	Aaa/NR/NR	N/A	4.66
CO-MGR	N	1/21/2022	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	950.000	5.000	Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	1/12/2022	State Of Louisiana	Gasoline & Fuels Tax Revenue Refunding Bonds	21.795	3.000	Aa2/AA-/NR	N/A	1.00
CO-MGR	N	1/12/2022	State Of Louisiana	Gasoline & Fuels Tax Revenue Refunding Bonds	620.995	10.610	Aa2/AA-/NR	N/A	1.00
CO-MGR	N	12/15/2021	New York Liberty Development Corporation	Liberty Revenue Refunding Bonds	638.805	7.000	NR/A+/A+	N/A	0.81
CO-MGR	N	12/9/2021	Metropolitan Atlanta Rapid Transit Authority	Sales Tax Revenue Bonds	60.950	5.250	Aa2/AA+/NR	N/A	2.49
CO-MGR	N	12/9/2021	Metropolitan Atlanta Rapid Transit Authority	Federally Taxable Refunding (Green Bonds) Sales Tax Revenue Bonds Federally Taxable Refunding (Green Bonds)	276.435	16.765	Aa2/AA+/NR	N/A	2.49
CO-MGR	N	12/7/2021	Golden State Tobacco Sec Corp	Tobacco (Subordinate) Settle Asset-Backed Bonds Capital Appreciation Bonds	1387.226	-	NR/NR/NR	N/A	17.83
CO-MGR	N	12/7/2021	Golden State Tobacco Sec Corp	Current Interest Bonds (Subordinate)	525.000	-	NR/BBB/NR	N/A	17.83
CO-MGR	N	12/7/2021	Golden State Tobacco Sec Corp	Current Interest Bonds (Senior)	2274.625	10.000	NR/A/NR	N/A	17.83
CO-MGR	N	12/2/2021	The Illinois State Toll Highway Authority	Toll Highway Senior Revenue Bonds	700.000	7.000	Aa3/AA-/AA-	N/A	4.02
CO-MGR	N	11/22/2021	Massachusetts Housing Finance Agency	Single Family Housing Rev Bonds (Non -Amt) (Social Bonds)	70.860	4.640	Aa1/AA+/NR	N/A	2.61
CO-MGR	N	11/16/2021	California Statewide Communities (Socal Edison Remarketing	Pollution Control Refunding Revenue	100.000	-	A3/A-/BBB+	N/A	5.00
CO-MGR	N	11/16/2021	California Statewide Communities (Socal Edison Remarketing	Pollution Control Refunding Revenue	38.500	-	A3/A-/BBB+	N/A	5.00
CO-MGR	N	11/16/2021	City Of Farmington (So Cal Edison Remarketing)	Pollution Control Refunding Revenue	55.540	-	A3/A-/BBB+	N/A	5.02
CO-MGR	N	11/16/2021	City Of Farmington (So Cal Edison Remarketing)	Pollution Control Refunding Revenue	100.000	-	A3/A-/BBB+	N/A	5.00
CO-MGR	N	11/16/2021	City Of Farmington (So Cal Edison Remarketing)	Pollution Control Refunding Revenue	103.460	-	A3/A-/BBB+	N/A	5.00
CO-MGR	N	11/16/2021	Clark County (Socal Edison Remarketing)	Pollution Control Refunding Revenue	75.000	-	A3/A-/BBB+	N/A	5.03
CO-MGR	N	11/16/2021	Maricopa County (So Cal Edison Remarketing)	Pollution Control Refunding Revenue	65.500	-	A3/A-/BBB+	N/A	7.00
CO-MGR	N	11/16/2021	Maricopa County (So Cal Edison Remarketing)	Pollution Control Refunding Revenue	79.400	-	A3/A-/BBB+	N/A	7.00
CO-MGR	N	11/10/2021	State Of Connecticut	Special Tax Obligation Bonds (Transportation Infrastructure Purposes)	500.000	10.000	Aa3/AA-/AA-	N/A	2.60
CO-MGR	N	11/9/2021	Dallas Area Rapid Transit	Senior Lien Sales Tax Revenue Refunding Bonds	576.355	9.000	Aa2/AA+/NR	N/A	3.84
CO-MGR	N	10/21/2021	Hudson Yards Infrastructure Corp	Hudson Yards Revenue Bonds	454.140	10.000	Aa2/AA-/A+	N/A	6.03
CO-MGR	N	10/21/2021	Massachusetts Housing Finance Agency	Housing Bonds (Non-Amt) (Sustainability Bonds)	8.805	-	Aa2/AA/NR	N/A	2.23
CO-MGR	N	10/21/2021	Massachusetts Housing Finance Agency	Housing Bonds (Non-Amt) (Sustainability Bonds)	61.950	-	Aa2/AA/NR	N/A	2.23
CO-MGR	N	10/21/2021	Massachusetts Housing Finance Agency	Housing Bonds (Non-Amt) (Sustainability Bonds)	77.820	-	Aa2/AA/NR	N/A	2.23
CO-MGR	N	10/6/2021	California St Public Works Board	Lease Revenue Refunding Bonds	299.085	-	Aa3/A+/AA-	N/A	2.23
CO-MGR	N	10/5/2021	The Metropolitan Government Of Nashville And Davidson County (Tennessee)	Federally Taxable Water And Sewer Revenue Refunding Bonds	232.075	11.070	Aa2/AA/NR	N/A	4.02
CO-MGR	N	10/5/2021	The Metropolitan Government Of Nashville And Davidson County (Tennessee)	Water And Sewer Revenue Bonds	377.520	6.000	Aa2/AA/NR	N/A	3.85
CO-SR	N	9/22/2021	Department Of Airports Of The City Of Los Angeles, California	Subordinate Refunding Revenue Bonds	125.815	17.960	Aa3/A+/AA-	N/A	1.99

			Department Of Airports Of The City Of Los Angeles, California	Broward County Board of County Commissioners				GEN2126726Q1	
CO-SR	N	9/22/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Subordinate Lien Revenue Bonds	753.195	30.000	Aa3/A+/AA-	N/A	2.00
CO-SR	N	9/22/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Bonds	316.680	-	NR/AA+/AA+	N/A	3.46
CO-SR	N	9/22/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Bonds	284.675	1.000	NR/AA+/AA+	N/A	3.46
CO-SR	N	9/22/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Bonds	177.274	15.000	NR/AA+/AA+	N/A	3.46
CO-SR	N	9/22/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Bonds	75.000	-	NR/AA+/AA+	N/A	3.59
CO-MGR	N	9/15/2021	New York City Municipal Water Finance Authority	Water & Sewer System Second General Resolution Revenue Bonds	88.100	-	Aa1/AA+/AA+	N/A	3.97
CO-MGR	N	9/15/2021	New York City Municipal Water Finance Authority	Water & Sewer System Second General Resolution Revenue Bonds	542.235	6.000	Aa1/AA+/AA+	N/A	3.97
CO-MGR	N	9/14/2021	State Of Connecticut Health & Education Facilities Authority	Revenue Bonds (Hartford Health)	169.705	-	A2/A/A+	N/A	4.45
CO-MGR	N	9/9/2021	City Of Cleveland	Subordinate Lien Income Tax And Refunding Bonds	68.080	3.615	A1/AA/NR	N/A	4.20
CO-MGR	N	9/9/2021	City Of Cleveland	Subordinate Lien Income Tax Bonds	2.105	-	A1/AA/NR	N/A	4.20
CO-MGR	N	9/9/2021	City Of Cleveland	Subordinate Lien Income Tax And Refunding Bonds	1.010	-	A1/AA/NR	N/A	4.20
CO-MGR	N	9/9/2021	City Of Cleveland	Subordinate Lien Income Tax And Refunding Bonds	10.560	1.005	A1/AA/NR	N/A	4.20
CO-MGR	N	9/9/2021	Massachusetts Housing Finance Agency	Single Family Housing Rev Bonds (Social Bonds) (Non-Amt)	89.900	3.000	Aa1/AA+/NR	N/A	2.36
CO-MGR	N	9/2/2021	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	950.000	6.000	Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	8/25/2021	City Of Houston Airport System	Airport System Special Facilities Revenue Bonds	219.320	3.000	NR/NR/B-	N/A	6.25
CO-MGR	N	8/25/2021	City Of Houston Airport System	Airport System Special Facilities Revenue Bonds	70.175	-	NR/NR/B-	N/A	6.25
CO-SR	N	8/19/2021	Miami-Dade County	Seaport Revenue Bonds	158.530	10.000	Aa3/NR/AA-	N/A	4.70
CO-SR	N	8/19/2021	Miami-Dade County	Seaport Revenue Bonds	99.520	5.000	Aa3/NR/AA-	N/A	4.70
CO-SR	N	8/19/2021	Miami-Dade County	Seaport Revenue Bonds	184.455	-	Aa3/NR/AA-	N/A	4.70
CO-SR	N	8/19/2021	Miami-Dade County	Seaport Revenue Bonds	383.240	4.000	A3/AA/A	N/A	4.70
CO-SR	N	8/19/2021	Miami-Dade County	Seaport Revenue Bonds	216.870	5.300	A3/AA/A	N/A	4.70
CO-SR	N	8/19/2021	Miami-Dade County	Seaport Revenue Bonds	200.215	-	A3/AA/A	N/A	4.70
CO-MGR	N	8/12/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Bonds	127.615	-	NR/AA+/AA+	N/A	3.70
CO-MGR	N	8/12/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Bonds	241.580	5.000	NR/AA+/AA+	N/A	3.70
CO-MGR	N	8/5/2021	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	932.050	7.000	Aa1/AAA/AAA	N/A	1.18
CO-MGR	N	8/4/2021	State Of Michigan	State Trunk Line Bonds	54.150	-	Aa2/AA+/NR	N/A	5.04
CO-MGR	N	8/4/2021	State Of Michigan	State Trunk Line Bonds	800.000	4.000	Aa2/AA+/NR	N/A	5.04
CO-MGR	N	7/15/2021	New York City Transitional Finance Authority	Building Aid Revenue Bonds	582.820	7.000	Aa3/AA/AA	N/A	0.79
CO-MGR	N	7/14/2021	Water Works Board Of The City Of Birmingham	Senior Water Revenue Bonds	480.995	23.905	Aa2/AA/NR	N/A	4.25
CO-MGR	N	6/29/2021	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	450.000	4.200	Aa1/AA+/AA+	N/A	1.70
CO-MGR	N	6/24/2021	Pennsylvania Turnpike Commission	Turnpike Revenue Bonds	385.800	6.500	A1/A+/A+	N/A	2.57
CO-MGR	N	6/22/2021	City Of San Antonio, Water System	Junior Lien Revenue And Refunding Bonds	274.375	6.000	Aa2/AA/AA	N/A	3.22
CO-MGR	N	6/16/2021	Dorm Authority Of The State Of New York	State Personal Inc Tax Rev Bonds	32.165	3.000	NR/AA+/AA+	N/A	3.41
CO-MGR	N	6/16/2021	Dorm Authority Of The State Of New York	State Personal Inc Tax Rev Bonds	1825.620	15.000	NR/AA+/AA+	N/A	0.98
CO-MGR	N	6/9/2021	Industrial Development Board Of The City Of Memphis And County Of Shelby	Revenue Bonds (Liberty Park Projects)	69.635	5.000	Aa3/AA/NR	N/A	1.94
CO-MGR	N	6/2/2021	Metropolitan Washington Airports Authority	Airport System Revenue Refunding Bonds	8.415	0.710	Aa3/A+/AA-	N/A	1.91
CO-MGR	N	6/2/2021	Metropolitan Washington Airports Authority	Airport System Revenue And Refunding Bonds	891.210	7.000	Aa3/A+/AA-	N/A	3.69
CO-MGR	N	5/25/2021	Wisconsin Housing And Economic Development Authority	Home Ownership Revenue Bonds	128.155	3.000	Aa2/AA/NR	N/A	2.74
CO-MGR	N	5/13/2021	Dorm Authority Of The State Of New York	School Districts Revenue Bond Financing Program Revenue Bonds	11.870	-	A1/AA/AA-	N/A	4.62
CO-MGR	N	5/13/2021	Dorm Authority Of The State Of New York	School Districts Revenue Bond Financing Program Revenue Bonds	47.265	5.250	A1/AA/AA-	N/A	4.62

				School Board of Broward County Board of Commissioners				GEN2126726Q1		
CO-MGR	N	5/13/2021	Dorm Authority Of The State Of New York	Special Tax Obligation Bonds (Transportation Infrastructure Purposes)	276.095	-	-	Aa3/NR/AA-	N/A	4.62
CO-MGR	N	4/23/2021	State Of Connecticut	Special Tax Obligation Bonds (Transportation Infrastructure Purposes)	144.190	6.800		Aa3/A+/A+	N/A	3.91
CO-MGR	N	4/23/2021	State Of Connecticut	Special Tax Obligation Bonds (Transportation Infrastructure Purposes)	11.695	-	-	Aa3/A+/A+	N/A	3.91
CO-MGR	N	4/23/2021	State Of Connecticut	Special Tax Obligation Bonds (Transportation Infrastructure Purposes)	875.000	-	-	Aa3/A+/A+	N/A	3.91
Co-SR	N	4/20/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	General Revenue Bonds	248.450	7.000	-	NR/AA+/AA+	N/A	4.50
Co-SR	N	4/20/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	General Revenue Bonds	356.225	-	-	NR/AA+/AA+	N/A	3.80
Co-SR	N	4/20/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Payroll Mobility Tax Senior Lien Bonds	633.535	8.000	-	NR/AA+/AA+	N/A	3.80
CO-MGR	N	3/25/2021	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	109.640	-	-	Aa1/AAA/AAA	N/A	3.67
CO-MGR	N	3/25/2021	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	891.430	6.000		Aa1/AAA/AAA	N/A	3.67
CO-MGR	N	3/25/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	General Revenue Bonds	400.000	4.000	-	Aa3/AA-/AA-	N/A	7.64
CO-MGR	N	3/23/2021	California St Public Works Board	Lease Revenue Refunding Bonds	109.930	-	-	Aa3/A+/AA-	N/A	3.90
CO-MGR	N	3/23/2021	California St Public Works Board	Lease Revenue Refunding Bonds	585.545	-	-	Aa3/A+/AA-	N/A	3.90
CO-MGR	N	3/22/2021	Ctl Texas Reg Mobility Au (CTRMA)	Subordinate Lien Bond Anticipation Notes	244.185	6.000		Baa1/A-/NR	N/A	3.40
CO-MGR	N	3/22/2021	Ctl Texas Reg Mobility Au (CTRMA)	Senior Lien Revenue Bonds	255.075	-	-	Baa2/BBB+/NR	N/A	3.40
CO-MGR	N	3/19/2021	Dorm Authority Of The State Of New York	State Personal Inc Tax Rev Bonds	291.640	6.000		NR/AA+/AA+	N/A	2.70
CO-MGR	N	3/19/2021	Dorm Authority Of The State Of New York	State Personal Inc Tax Rev Bonds	1871.420	6.000		NR/AA+/AA+	N/A	0.74
CO-MGR	N	3/11/2021	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	574.795	5.000		Aa1/AA+/AA+	N/A	0.58
CO-MGR	N	3/11/2021	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	553.260	5.000		Aa1/AA+/AA+	N/A	3.77
CO-MGR	N	3/4/2021	Texas Public Finance Authority	Lease Revenue Bonds	325.700	18.135	-	Aa1/AA+/NR	N/A	3.40
CO-MGR	N	2/24/2021	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	553.260	5.000	-	Aa1/AA+/AA+	N/A	3.77
CO-MGR	N	2/4/2021	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	94.565	-	-	Aa1/AAA/AAA	N/A	3.77
CO-MGR	N	2/4/2021	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	205.435	-	-	Aa1/AAA/AAA	N/A	3.77
CO-MGR	N	2/4/2021	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	900.000	5.000		Aa1/AAA/AAA	N/A	3.14
CO-SR	N	1/27/2021	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	General Revenue Variable Rate Refunding Bonds	104.700	8.000	-	Aa3/AA-/AA-	N/A	2.50
CO-MGR	N	1/21/2021	Metropolitan Water District Of Southern California	Water Revenue Bonds	188.890	3.000		Aa1/AAA/NR	N/A	1.50
CO-MGR	N	1/15/2021	Port Authority Of New York & New Jersey	Consolidated Bonds	205.350	-	-	Aa3/A+/A+	N/A	2.55
CO-MGR	N	1/15/2021	Port Authority Of New York & New Jersey	Consolidated Bonds	400.000	3.000		Aa3/A+/A+	N/A	2.55
CO-MGR	N	1/15/2021	Port Authority Of New York & New Jersey	Consolidated Bonds	420.020	3.000		Aa3/A+/A+	N/A	2.55
CO-MGR	N	1/15/2021	Port Authority Of New York & New Jersey	Consolidated Bonds	486.580	-	-	Aa3/A+/A+	N/A	2.55
CO-MGR	N	1/6/2021	Louisiana Transportation Authority	Refunding Revenue Bonds	54.275	3.000		A1/NR/A+	N/A	4.25
CO-MGR	N	12/16/2020	NYC Health And Hospitals Corporation	Health System Bonds	310.195	5.000		Aa3/A+/A+	N/A	0.98
CO-MGR	N	12/9/2020	Via Metropolitan Transit Authority	Sales Tax Revenue Refunding Bonds	23.790	3.000		NR/AA+/NR	N/A	4.79
CO-MGR	N	12/3/2020	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	228.990	5.000		Aa1/AA+/AA+	N/A	3.75
CO-MGR	N	12/3/2020	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	305.435	-	-	Aa1/AA+/AA+	N/A	3.75
CO-MGR	N	11/20/2020	Port Of Oakland-California	Senior Lien Refunding Rev Bonds	343.755	3.145		A1/A+/A+	N/A	2.02
CO-MGR	N	11/20/2020	Port Of Oakland-California	Intermediate Lien Ref Rev Bonds	182.010	-	-	A1/A+/A+	N/A	2.18
CO-SR	N	10/30/2020	Metropolitan Transport Auth (MTA)	Transportation Revenue Refunding Bonds	419.915	3.000	-	A3/BBB+/A-	N/A	4.01
CO-MGR	N	10/29/2020	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	700.000	2.000		Aa1/AAA/AAA	N/A	9.55
CO-MGR	N	10/28/2020	California Health Facilities Financing Authority	Nplh Revenue Bonds, Series 2020	450.000	4.000		Aa3/AA-/AA-	N/A	5.60
CO-MGR	N	10/21/2020	City Of Philadelphia	Gas Works Revenue Bonds, Sixteenth Series	253.925	3.000	-	A3/A/BBB+	N/A	2.99
CO-MGR	N	10/7/2020	Ohio Water Development Authority	Water Pollution Control Loan Fund Revenue Bonds	250.000	4.000		Aaa/AAA/NR	N/A	3.85

Water And Sewer Second General Resolution										GEN2126726Q1
CO-MGR	N	9/24/2020	New York City Municipal Water Finance Authority	Browns County Board of Commissioners	650.000	3.000		Aa1/AA+/AA+	N/A	2.29
CO-MGR	N	9/18/2020	City Of Houston Airport System	Subordinate Lien Revenue Refunding Bonds	660.490	4.300		A1/A/NR	N/A	2.66
CO-MGR	N	9/18/2020	City Of Houston Airport System	Subordinate Lien Revenue Refunding Bonds	71.565	3.000		A1/A/NR	N/A	2.66
CO-MGR	N	9/18/2020	City Of Houston Airport System	Subordinate Lien Revenue Refunding Bonds	131.620	-	-	A1/A/NR	N/A	2.66
CO-MGR	N	9/18/2020	Harris County Flood Control District	Improvement Refunding Bonds	251.195	-	-	Aaa/NR/AAA	N/A	3.43
CO-MGR	N	9/16/2020	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	900.000	-	-	Aa1/AAA/AAA	N/A	3.14
CO-MGR	N	9/16/2020	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	43.370	-	-	Aa1/AAA/AAA	N/A	3.14
CO-MGR	N	9/16/2020	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	156.315	-	-	Aa1/AAA/AAA	N/A	3.14
CO-MGR	N	9/2/2020	Franklin Co Convention Fac Auth	Tax And Lease Revenue Anticipation Bonds	196.005	-	-	Aa1/AA/NR	N/A	5.66
CO-MGR	N	9/2/2020	Franklin Co Convention Fac Auth	Tax And Lease Revenue Anticipation Bonds	6.700	-	-	Aa1/AA/NR	N/A	5.66
CO-MGR	N	9/2/2020	New York Transportation Development Corporation	Special Facilities Revenue Bonds	1511.015	-	-	Baa3/NR/BB+	N/A	5.53
CO-MGR	N	8/28/2020	Chicago Transit Authority	Second Lien Sales Tax Receipts Revenue Bonds	367.895	3.000		NR/A+/NR	N/A	5.01
CO-MGR	N	8/26/2020	Trustees Of The California State University	Systemwide Revenue Bonds	466.010	-	-	Aa2/AA-/NR	N/A	1.97
CO-MGR	N	8/26/2020	Trustees Of The California State University	Systemwide Revenue Bonds	528.575	3.000		Aa2/AA-/NR	N/A	1.97
CO-MGR	N	8/26/2020	Trustees Of The California State University	Systemwide Revenue Bonds	314.030	3.000		Aa2/AA-/NR	N/A	1.97
CO-MGR	N	8/4/2020	Austin Community College District Public Facility Corporation	Lease Revenue Refunding Bonds	47.580	4.880		Aa2/AA/NR	N/A	48.97
CO-MGR	N	7/30/2020	State Of California Department Of Water Resources	Central Valley Proj Water Sys Rev	515.150	4.000		Aa1/AAA/NR	N/A	6.10
CO-MGR	N	7/30/2020	State Of California Department Of Water Resources	Central Valley Proj Water Sys Rev	544.210	2.000		Aa1/AAA/NR	N/A	1.02
CO-MGR	N	7/22/2020	The Andrew W. Mellon Foundation	Social Bonds	300.000	-	-	Aaa/AAA/NR	N/A	6.00
CO-MGR	N	7/17/2020	Nys Urban Development Corporation	State Personal Inc Tax Rev Bonds	72.075	3.000		Aa1/NR/AA+	N/A	4.67
CO-MGR	N	7/17/2020	Nys Urban Development Corporation	State Personal Inc Tax Rev Bonds	2225.380	4.000		Aa1/NR/AA+	N/A	6.06
CO-MGR	N	7/17/2020	Port Authority Of New York & New Jersey	Consolidated Bonds	436.640	2.200		Aa3/A+/AA-	N/A	4.67
CO-MGR	N	7/17/2020	Port Authority Of New York & New Jersey	Consolidated Bonds	662.110	2.000		Aa3/A+/AA-	N/A	4.67
CO-MGR	N	7/10/2020	District Of Columbia	Income Tax Secured Revenue Bonds	234.915	4.000		Aa1/AAA/AA+	N/A	0.51
CO-MGR	N	7/10/2020	District Of Columbia	Income Tax Secured Revenue Bonds	392.870	4.000		Aa1/AAA/AA+	N/A	0.51
CO-MGR	N	7/1/2020	City Of Corpus Christi	Utility System Junior Lien Revenue Refunding Bonds	183.635	10.000	-	Aa3/AA-/AA-	N/A	4.64
CO-MGR	N	7/1/2020	City Of Corpus Christi	Utility System Junior Lien Revenue Refunding Bonds	133.765	4.000		Aa3/AA-/AA-	N/A	4.53
CO-MGR	N	6/18/2020	Nys Urban Development Corporation	State Personal Income Tax Rev Bonds	492.010	4.000		Aa1/NR/AA+	N/A	6.70
CO-MGR	N	6/18/2020	Nys Urban Development Corporation	State Personal Income Tax Rev Bonds	1288.210	3.000		Aa1/NR/AA+	N/A	6.62
CO-MGR	N	6/18/2020	The Ford Foundation	Social Bonds	1000.000	37.000		Aaa/AAA/NR	N/A	0.66
CO-MGR	N	6/9/2020	Texas Transportation Commission	State Highway Fund, First Tier Revenue Refunding Bonds	341.425	4.000		Aaa/AAA/NR	N/A	3.76
CO-MGR	N	6/4/2020	Washington Metropolitan Area Transit Authority	Dedicated Revenue Bonds	545.000	4.000		NR/AA/AA	N/A	1.38
CO-MGR	N	6/3/2020	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	708.005	3.000		Aa1/AA+/AA+	N/A	0.67
CO-SR	N	5/22/2020	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	General Revenue Bonds	525.000	4.000	-	Aa3/AA-/AA-	N/A	4.46
CO-MGR	N	5/14/2020	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	850.000	-	-	Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	3/27/2020	City Of Phoenix Civic Improvement Corp	Junior Lien Water System Revenue Bonds	228.015	2.000		Aa2/AAA/NR	N/A	6.50
CO-MGR	N	3/27/2020	City Of Phoenix Civic Improvement Corp	Junior Lien Water System Revenue Bonds	165.115	-	-	Aa2/AAA/NR	N/A	6.50
CO-MGR	N	3/11/2020	New York City Municipal Water Finance Authority	Water And Sewer Second General Resolution Revenue Bonds	399.315	2.000		Aa1/AA+/AA+	N/A	4.28
CO-MGR	N	2/12/2020	Grand Parkway Transportation Corporation	Subordinate Tier Toll Revenue Refunding Bonds	1293.260	2.000		A2/NR/A+	N/A	4.67
CO-MGR	N	2/12/2020	Grand Parkway Transportation Corporation	First Tier Toll Road Revenue Refunding Bonds	220.415	2.000		A2/NR/A+	N/A	4.67
CO-MGR	N	2/12/2020	Grand Parkway Transportation Corporation	First Tier Toll Road Revenue Refunding Bonds	793.385	3.000		A2/NR/A+	N/A	4.67
CO-MGR	N	2/6/2020	Metropolitan Transit Authority Of Harris County	Sales & Use Tax Refunding Bonds	304.130	4.000		NR/AAA/NR	N/A	4.55
CO-MGR	N	2/6/2020	New York City Municipal Water Finance Authority	Water And Sewer Second General Resolution Revenue Bonds	528.290	3.000		Aa1/AA+/AA+	N/A	4.28
CO-MGR	N	1/28/2020	Metropolitan Water District Of Southern California	Water Revenue Bonds	207.335	14.000	-	NR/AAA/AA+	N/A	2.99

CO-MGR	N	1/16/2020	City Of San Antonio, Texas Electric And Gas Systems	Broward County Board of County Commissioners	134.580	3.500	-	Aa1/AA/AA+	N/A	GEN2126726Q1
CO-MGR	N	1/16/2020	Pennsylvania Turnpike Commission	Turnpike Revenue Refunding Bonds	179.100	3.000		A1/NR/A+	N/A	2.93
CO-MGR	N	1/10/2020	New Jersey Economic Development Authority	NJ Transit Transportation Project Bonds	500.000	4.000	-	Baa1/BBB+/A-	N/A	3.71
CO-MGR	N	12/19/2019	Dorm Authority Of The State Of New York	State Personal Inc Tax Rev Bonds	1391.585	-	-	Aa1/NR/AA+	N/A	0.52
CO-MGR	N	12/19/2019	Dorm Authority Of The State Of New York	State Personal Inc Tax Rev Bonds	39.460	-	-	Aa1/NR/AA+	N/A	5.61
CO-MGR	N	12/19/2019	Dorm Authority Of The State Of New York	State Personal Inc Tax Rev Bonds	1973.575	3.250		Aa1/NR/AA+	N/A	5.61
CO-MGR	N	12/13/2019	Los Angeles Community College District	2016 Election General Obligation Bonds	100.000	3.000		Aaa/AA+/NR	N/A	1.25
CO-MGR	N	12/13/2019	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	29.555	2.000		Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	12/13/2019	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	850.000	2.000		Aa1/AAA/AAA	N/A	3.97
CO-MGR	N	12/5/2019	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	637.665	2.000	-	Aa1/AA+/AA+	N/A	4.25
CO-MGR	N	11/22/2019	Dorm Authority Of The State Of New York	Dormitory Facilities Revenue Bonds	560.800	-	-	Aa3/NR/A+	N/A	1.52
CO-MGR	N	11/22/2019	Dorm Authority Of The State Of New York	Dormitory Facilities Revenue Bonds	141.485	4.000		Aa3/NR/A+	N/A	1.52
CO-MGR	N	11/20/2019	California Health Facilities Financing Authority	Senior Revenue Bonds	500.000	4.000	-	Aa3/AA-/AA-	N/A	2.60
CO-MGR	N	11/14/2019	Texas Transportation Commission	State Of Texas Highway Improvement General Obligation Refunding Bonds	705.550	-	-	Aaa/AAA/AAA	N/A	3.75
CO-MGR	N	11/6/2019	Illinois State Toll Highway Authority	Toll Highway Senior Revenue Bonds	225.245	5.000	-	A1/AA-/AA-	N/A	1.97
CO-MGR	N	10/25/2019	Board Of Regents, Texas State University System	Revenue Financing System Refunding Bonds	149.480	12.000	-	Aa2/NR/AA	N/A	4.02
CO-MGR	N	10/25/2019	Board Of Regents, Texas State University System	Revenue Financing System Revenue And Refunding Bonds	174.510	5.250	-	Aa2/NR/AA	N/A	4.02
CO-MGR	N	10/25/2019	City Of Memphis	Storm Water System Revenue Bonds	35.830	3.000	-	Aa3/AA+/NR	N/A	2.23
CO-MGR	N	10/24/2019	Miami-Dade County	Water And Sewer System Revenue Refunding Bonds	548.090	8.000	-	Aa3/AA-/A+	N/A	3.69
CO-MGR	N	10/24/2019	Miami-Dade County	Water And Sewer System Revenue Bonds	663.860	3.000	-	Aa3/AA-/A+	N/A	2.44
CO-MGR	N	10/11/2019	District Of Columbia	Tax Increment Revenue Bonds (Bryant Street Project)	17.300	2.000	-	Aa2/AA/AA+	N/A	3.13
CO-MGR	N	10/3/2019	Trinity River Authority	Ten Mile Creek System Revenue Improvement And Refunding Bonds	29.895	1.500	-	NR/AA-/NR	N/A	1.10
CO-MGR	N	10/2/2019	Trinity River Authority	Revenue Improvement And Refunding Bonds	147.495	2.000	-	NR/AAA/AA+	N/A	1.17
CO-MGR	N	9/18/2019	City Of Cleveland	Airport System Revenue Bonds	5.405	-	-	A2/A/A-	N/A	1.17
CO-MGR	N	9/18/2019	City Of Cleveland	Airport System Revenue Bonds	36.605	-	-	A2/A/A-	N/A	1.17
CO-MGR	N	9/18/2019	City Of Cleveland	Airport System Revenue Bonds	301.665	11.000	-	A2/A/A-	N/A	3.59
CO-MGR	N	8/23/2019	Miami-Dade County	Aviation Revenue Bonds	360.500	10.250	-	Aa3/AA-/A+	N/A	3.47
CO-MGR	N	8/23/2019	Miami-Dade County	Aviation Revenue Refunding Bonds	9.675	-	-	Aa3/AA-/A+	N/A	3.47
CO-MGR	N	8/23/2019	Miami-Dade County	Aviation Revenue Refunding Bonds	17.415	-	-	Aa3/AA-/A+	N/A	3.47
CO-MGR	N	8/15/2019	Los Angeles County Public Works Financing Authority	Lease Revenue Bonds	32.555	2.000	-	Aa2/AA+/AA	N/A	2.33
CO-MGR	N	8/15/2019	Los Angeles County Public Works Financing Authority	Lease Revenue Bonds	219.335	9.000	1.000	Aa2/AA+/AA	N/A	2.33
CO-MGR	N	8/8/2019	Port Authority Of New York & New Jersey	Consolidated Bonds	100.000	0.600	0.600	Aa3/AA-/AA-	N/A	8.33
CO-MGR	N	8/8/2019	Port Authority Of New York & New Jersey	Consolidated Bonds	400.000	6.000	-	Aa3/AA-/AA-	N/A	2.55
CO-MGR	N	8/8/2019	Port Authority Of New York & New Jersey	Consolidated Bonds	200.000	1.000	-	Aa3/AA-/AA-	N/A	1.99
CO-MGR	N	8/8/2019	Port Authority Of New York & New Jersey	Consolidated Bonds	311.670	2.500	-	Aa3/AA-/AA-	N/A	1.99
CO-MGR	N	8/8/2019	Port Authority Of New York & New Jersey	Consolidated Bonds	289.550	11.500	-	Aa3/AA-/AA-	N/A	1.99
CO-MGR	N	8/7/2019	City Of Philadelphia	Water & Wastewater Revenue Bonds	250.660	15.000	-	A3/A/BBB+	N/A	1.12
CO-MGR	N	7/12/2019	Trustees Of The California State University	Systemwide Revenue Bonds	81.335	-	-	Aa2/AA-/NR	N/A	2.60
CO-MGR	N	7/12/2019	Trustees Of The California State University	Systemwide Revenue Bonds	449.430	10.000		Aa2/AA-/NR	N/A	2.60
CO-MGR	N	7/11/2019	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	450.000	4.200	0.200	Aa1/AA+/AA+	N/A	3.41
CO-MGR	N	6/26/2019	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution Revenue Bonds	459.600	6.000	-	Aa1/AA+/AA+	N/A	4.25
CO-MGR	N	5/16/2019	Dorm Authority Of The State Of New York	School Districts Revenue Bond Financing Program Revenue Bonds	9.010	-	-	NR/AA/AA-	N/A	3.43
CO-MGR	N	5/16/2019	Dorm Authority Of The State Of New York	School Districts Revenue Bond Financing Program Revenue Bonds	23.460	-	-	NR/AA/AA-	N/A	3.43

				School Districts Revenue Bond Financing Program	10.805	-	-	NR/AA/AA-	N/A	3.43
CO-MGR	N	5/16/2019	Dorm Authority Of The State Of New York	County Commissioners						
CO-MGR	N	5/16/2019	Dorm Authority Of The State Of New York	School Districts Revenue Bond Financing Program	12.305	-	-	NR/AA/AA-	N/A	3.43
CO-MGR	N	5/16/2019	Dorm Authority Of The State Of New York	Revenue Bonds	426.785	10.000		NR/AA/AA-	N/A	3.43
CO-MGR	N	5/16/2019	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Revenue Bonds	150.000	3.000	-	Aa3/AA-/AA-	N/A	4.04
CO-MGR	N	5/10/2019	Miami-Dade County	Aviation Revenue Bonds	212.745	9.000	-	NR/A/A	N/A	3.88
CO-MGR	N	5/10/2019	Miami-Dade County	Aviation Revenue Bonds	282.180	7.500	-	NR/A/A	N/A	6.26
CO-MGR	N	4/25/2019	California Infrstr & Eco Dev Bank	Clean Water And Drinking Water State Revolving Fund	83.920	3.000	-	Aaa/AAA/AAA	N/A	4.62
CO-MGR	N	4/10/2019	New York City Municipal Water Finance Authority	Revenue Bonds	500.000	5.950	0.950	Aa1/AA+/AA+	N/A	5.21
CO-MGR	N	3/27/2019	New York City Transitional Finance Authority	Future Tax Secured Subordinate Bonds	850.000	9.575	0.575	Aa1/AAA/AAA	N/A	4.25
CO-MGR	N	2/28/2019	Wisconsin Housing And Economic Development Authority	Home Ownership Revenue Bonds	115.000	8.000	-	Aa2/AA+/NR	N/A	4.50
CO-MGR	N	2/15/2019	Texas Transportation Commission	State Highway 249 System First Tier Toll Revenue Bonds	144.085	14.000	-	Aa2/AA/AA-	N/A	3.37
CO-MGR	N	2/15/2019	Texas Transportation Commission	State Highway 249 System First Tier Toll Revenue Bonds	92.372	-	-	Aa2/AA/AA-	N/A	3.37
CO-MGR	N	1/17/2019	New York City Municipal Water Finance Authority	Water & Sewer System Second General Resolution	475.000	-	-	Aa1/AA+/AA+	N/A	3.76
CO-MGR	N	1/16/2019	Miami-Dade County	Revenue Bonds	233.305	9.000	-	Aa3/AA-/AA+	N/A	3.13
CO-MGR	N	12/11/2018	Dorm Authority Of The State Of New York	Water And Sewer Bonds System Revenue Bonds	103.565	6.750		Aa1/NR/AA+	N/A	2.64
CO-MGR	N	12/11/2018	Dorm Authority Of The State Of New York	State Personal Inc Tax Rev Bonds	1566.025	18.000		Aa1/NR/AA+	N/A	2.64
CO-MGR	N	12/11/2018	Illinois State Toll Highway Authority	State Personal Inc Tax Rev Bonds	515.250	18.000	-	Aa3/AA-/AA-	N/A	4.25
CO-MGR	N	12/6/2018	Wisconsin Housing And Economic Development Authority	Toll Highway Senior Revenue Bonds	66.845	5.500	-	Aa3/AA/NR	N/A	13.60
CO-MGR	N	12/4/2018	City Of Chicago Chicago O'Hare Intl Airport	Housing Revenue Bonds	800.000	1.000	-	A2/AA/NR	N/A	5.04
CO-MGR	N	12/4/2018	City Of Chicago Chicago O'Hare Intl Airport	General Airport Senior Lien Revenue And Revenue Refunding Bonds	612.095	10.000	-	A2/AA/NR	N/A	5.04
CO-MGR	N	12/4/2018	City Of Chicago Chicago O'Hare Intl Airport	General Airport Senior Lien Revenue And Revenue Refunding Bonds	600.785	5.000	-	A2/AA/NR	N/A	5.04
CO-MGR	N	12/4/2018	City Of San Antonio, Texas Electric And Gas Systems	General Airport Senior Lien Revenue And Revenue Refunding Bonds	134.870	-	-	Aa3/A+/AA-	N/A	3.97
CO-MGR	N	11/28/2018	Washington Metropolitan Area Transit Authority	Variable Rate Junior Lien Revenue Refunding Bonds	239.920	11.000	-	NR/AA-/AA-	N/A	2.16
CO-MGR	N	11/8/2018	City Of Orlando	Gross Revenue Transit Bonds	105.135	6.000	-	Aa2/AA+/AA+	N/A	3.35
CO-MGR	N	11/7/2018	New York State Mortgage Agency	Capital Improvement Special Revenue Bonds	31.135	-	-	Aa1/NR/NR	N/A	2.75
CO-MGR	N	11/7/2018	New York State Mortgage Agency	Homeowner Mortgage Rev Bonds	116.125	4.000	-	Aa1/NR/NR	N/A	2.75
CO-MGR	N	10/16/2018	New York City Transitional Finance Authority	Homeowner Mortgage Rev Bonds	1217.760	2.500	-	Aa2/AA/AA	N/A	4.25
CO-MGR	N	10/10/2018	State Of California Department Of Water Resources	Building Aid Revenue Bonds	215.295	6.300	0.300	Aa1/AAA/NR	N/A	0.52
CO-MGR	N	9/7/2018	New York City Transitional Finance Authority	Central Valley Proj Water Sys Rev	750.000	5.000		Aa1/AAA/AAA	N/A	7.73
CO-MGR	N	9/6/2018	City Of Cleveland	Future Tax Secured Sub Bonds	87.940	9.000	-	A3/A/BBB+	N/A	4.60
CO-MGR	N	9/6/2018	City Of Cleveland	Airport System Revenue Bonds	21.745	2.000	-	A3/A/BBB+	N/A	4.60
CO-SR	N	8/23/2018	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	Airport System Revenue Bonds	159.280	14.750	0.250	NR/AA/AA-	N/A	4.21
CO-MGR	N	8/16/2018	Metropolitan Transport Auth (MTA)	General Revenue Refunding Bonds	207.220	3.000	-	NR/AA/AA-	N/A	6.50
CO-MGR	N	8/15/2018	Board Of Regents Of The University Of North Texas	Transportation Revenue Refunding Green Bonds	22.685	3.000	-	Aa2/NR/AA	N/A	4.60
CO-MGR	N	8/15/2018	Board Of Regents Of The University Of North Texas	Revenue Financing System Refunding And Improvement Bonds	149.425	10.000	-	Aa2/NR/AA	N/A	4.60
CO-MGR	N	8/15/2018	Miami-Dade County	Revenue Financing System Refunding And Improvement Bonds	766.815	15.000	-	NR/AA/A+	N/A	4.75
CO-MGR	N	8/15/2018	Miami-Dade County	Aviation Revenue Bonds	4.185	2.000	-	NR/A/A	N/A	4.75
CO-MGR	N	8/15/2018	Miami-Dade County	Aviation Revenue Bonds	19.745	1.000	-	/A/A	N/A	4.75
Co-SR	N	8/15/2018	New York City Municipal Water Finance Authority	Water And Sewer System Second General Resolution	264.035	20.000	-	Aa1/AA+/AA+	N/A	2.47
CO-MGR	N	8/8/2018	Miami-Dade County	Revenue Bonds	77.145	5.000	-	NR/AA/A+	N/A	3.45
				Professional Sports Franchise Facilities Tax Revenue						

CO-MGR	N	8/7/2018	City Of Houston	Broward County Board of County Commissioners	207.255	6.325	-	Aa2/NR/AA	N/A	GEN2126726Q1
CO-MGR	N	8/7/2018	City Of Houston	Combined Utility System First Lien	321.965	11.500	-	Aa2/NR/AA	N/A	2.94
CO-SR	N	8/7/2018	Triborough Bridge & Tunnel Authority (MTA Bridges And Tunnels)	General Revenue Refunding Bonds	270.090	17.300	0.300	NR/AA/AA-	N/A	4.21
Total:					\$127,915	\$1,307	\$37			

VENDOR REFERENCE VERIFICATION FORM

GEN2126726Q1 Library of Bond Underwriters				
Reference For (hereinafter, "Vendor"):	Blaylock Van, LLC			
Reference Date:	8/11/2023			
Organization/Firm Providing Reference:	Miami-Dade County			
Contact Name:	Arlesa Wood			
Contract Title:	Director			
Contact Email:	(305) 375-2275			
Contact Phone:	arlesa.wood@miamidade.gov			
Name of Referenced Project:	Seaport Revenue Bonds, Series 2021			
Contract Number:				
Date Range of Services Provide:	Start Date: 8/5/2021	End Date: 9/15/2021		
Project Amount:	\$1,242,830,000			
Vendor's Role in Project:	<input checked="" type="checkbox"/> Prime	<input type="checkbox"/> Subconsultant/Subcontractor		
Would you use this Vendor again?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No		
If you answered no to the question above, please specify below: (attach additional sheet if needed)				
Description of services provided by Vendor, please specify below: (attach additional sheet if needed)				
Underwriting Services				
Please rate your experience with the referenced Vendor via checkbox:	Needs Improvement	Satisfactory	Excellent	Not Applicable
Vendor's Quality of Service:				
Responsive:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Accuracy:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Deliverables:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vendor's Organization:				
Staff Expertise:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Professionalism:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Turnover:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Timeliness of:				
Project:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Deliverables:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Project completed within budget:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cooperation with:				
Your Firm:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Subcontractor(s)/Subconsultant(s):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Regulatory Agency(ies):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<small>All information provided to Broward County is subject to verification. Vendor acknowledges that inaccurate, untruthful, or incorrect statements made in support of this response may be used by the County as a basis for rejection, rescission of the award, or termination of the contract and may also serve as the basis for debarment of Vendor pursuant to the Broward County Procurement Code.</small>				
THE SECTION BELOW IS FOR COUNTY USE ONLY				
Verified via: <input type="checkbox"/> Email <input type="checkbox"/> Verbal	Verified by:		Division:	
			Date:	

VENDOR REFERENCE VERIFICATION FORM

GEN2126726Q1 Library of Bond Underwriters				
Reference For (hereinafter, "Vendor"):	Blaylock Van, LLC			
Reference Date:	8/11/2023			
Organization/Firm Providing Reference:	Metropolitan Atlanta Rapid Transit Authority			
Contact Name:	Kevin Hurley			
Contract Title:	Deputy Chief Financial Officer			
Contact Email:	khurley@itsmarta.com			
Contact Phone:	(404) 848-5774			
Name of Referenced Project:	Sales Tax Revenue Bonds, Series 2021			
Contract Number:				
Date Range of Services Provide:	Start Date: 11/18/2021	End Date: 12/16/2021		
Project Amount:	\$337,385,000			
Vendor's Role in Project:	<input checked="" type="checkbox"/> Prime	<input type="checkbox"/> Subconsultant/Subcontractor		
Would you use this Vendor again?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No		
If you answered no to the question above, please specify below: (attach additional sheet if needed)				
Description of services provided by Vendor, please specify below: (attach additional sheet if needed)				
Underwriting Services				
Please rate your experience with the referenced Vendor via checkbox:	Needs Improvement	Satisfactory	Excellent	Not Applicable
Vendor's Quality of Service:				
Responsive:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Accuracy:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Deliverables:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Vendor's Organization:				
Staff Expertise:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Professionalism:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Turnover:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Timeliness of:				
Project:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Deliverables:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Project completed within budget:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Cooperation with:				
Your Firm:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Subcontractor(s)/Subconsultant(s):	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Regulatory Agency(ies):	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
All information provided to Broward County is subject to verification. Vendor acknowledges that inaccurate, untruthful, or incorrect statements made in support of this response may be used by the County as a basis for rejection, rescission of the award, or termination of the contract and may also serve as the basis for debarment of Vendor pursuant to the Broward County Procurement Code.				
THE SECTION BELOW IS FOR COUNTY USE ONLY				
Verified via: <input type="checkbox"/> Email <input type="checkbox"/> Verbal	Verified by:	Division:		
		Date:		

VENDOR REFERENCE VERIFICATION FORM

GEN2126726Q1 Library of Bond Underwriters				
Reference For (hereinafter, "Vendor"):	Blaylock Van, LLC			
Reference Date:	August 11, 2023			
Organization/Firm Providing Reference:	City of Atlanta			
Contact Name:	C. Courtney Knight			
Contract Title:	Treasurer			
Contact Email:	ccknight@atlantaga.gov			
Contact Phone:	(470) 618-9245			
Name of Referenced Project:	General Obligation Public Improvement Bonds, Series 2022			
Contract Number:				
Date Range of Services Provide:	Start Date: 10/6/2022	End Date: 11/3/2022		
Project Amount:	\$409,700,000			
Vendor's Role in Project:	<input checked="" type="checkbox"/> Prime	<input type="checkbox"/> Subconsultant/Subcontractor		
Would you use this Vendor again?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No		
If you answered no to the question above, please specify below: (attach additional sheet if needed)				
Description of services provided by Vendor, please specify below: (attach additional sheet if needed)				
Underwriting Services				
Please rate your experience with the referenced Vendor via checkbox:	Needs Improvement	Satisfactory	Excellent	Not Applicable
Vendor's Quality of Service:				
Responsive:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Accuracy:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Deliverables:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Vendor's Organization:				
Staff Expertise:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Professionalism:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Turnover:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Timeliness of:				
Project:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Deliverables:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Project completed within budget:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cooperation with:				
Your Firm:	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Subcontractor(s)/Subconsultant(s):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Regulatory Agency(ies):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<small>All information provided to Broward County is subject to verification. Vendor acknowledges that inaccurate, untruthful, or incorrect statements made in support of this response may be used by the County as a basis for rejection, rescission of the award, or termination of the contract and may also serve as the basis for debarment of Vendor pursuant to the Broward County Procurement Code.</small>				
THE SECTION BELOW IS FOR COUNTY USE ONLY				
Verified via:	<input type="checkbox"/> Email <input type="checkbox"/> Verbal	Verified by:	Division:	
			Date:	

Supplier: **Blaylock Van, LLC**

Standard Instructions to Vendors - Request for Proposals, Request for Qualifications, or Request for Letters of Interest

Vendors are instructed to read and follow the instructions carefully, as any misinterpretation or failure to comply with instructions may lead to a Vendor's submittal being rejected.

Vendor **MUST** submit its solicitation response electronically and **MUST** confirm its submittal in Periscope S2G for the response to be deemed valid by the County. Refer to the [Purchasing Division website](#) or contact Periscope S2G for submittal instructions.

A. Responsiveness Criteria:

A Responsive (Vendor) means a vendor who submits a response to a solicitation that the Director of Purchasing determines meets all requirements of the solicitation.

The required information and applicable forms must be submitted with solicitation response, electronically through Periscope SG2 by the solicitation's due date and time. Failure to timely submit may result in Vendor being deemed non-responsive. The County reserves the right to waive minor technicalities or irregularities as is in the best interest of the County in accordance with Section 21.37(b) of the Broward County Procurement Code.

Below are standard responsiveness criteria; refer to **Special Instructions to Vendors** for Additional Responsiveness Criteria requirement(s).

1. Lobbyist Registration Requirement Certification

Refer to **Lobbyist Registration Requirement Certification Form**. The completed form should be submitted with the solicitation response. If not submitted within solicitation response, it must be submitted within three business days of County's written request. Failure to timely submit may result in Vendor being deemed non-responsive.

2. Criminal History Screening Practices Certification

Refer to **Criminal History Screening Practices Certification Form**. The completed form should be submitted with the solicitation response. If not submitted within solicitation response, it must be submitted within three business days of County's written request. Failure to timely submit may result in Vendor being deemed non-responsive.

3. Addenda

The County reserves the right to amend this solicitation prior to the due date and time specified in the solicitation. Any change(s) to this solicitation will be conveyed through the written addenda process. Only written addenda will be binding. Vendor must follow the instructions carefully and submit the required information and applicable forms, or acknowledge addendum, electronically through Periscope S2G. It is the Vendor's sole responsibility to monitor the solicitation for any changing information, prior to submitting their solicitation response.

B. Responsibility Criteria:

A Responsible (Vendor) means a vendor who is determined to have the capability in all respects to perform fully the requirements of a solicitation, as well as the integrity and reliability that will ensure good faith performance.

When making determinations of responsibility, the Director of Purchasing or the Evaluation Committee (as applicable) may request additional information from any vendor on matters that may affect a vendor's responsibility. The failure of a vendor to provide information requested by the County may result in a determination of non-responsibility. In addition, a vendor may submit information regarding its responsibility; provided, however, that such information shall not be considered if it

contradicts or materially alters the information provided by the vendor in its original response to the solicitation.

Failure to provide any of this required information and in the manner required may result in a recommendation by the Director of Purchasing that the Vendor is non-responsible.

Below are standard responsibility criteria; refer to **Special Instructions to Vendors** for Additional Responsibility Criteria requirement(s).

1. **Litigation History**

- a. All Vendors are required to disclose to the County all “material” cases filed, pending, or resolved during the last three (3) years prior to the solicitation response due date, whether such cases were brought by or against the Vendor, any parent or subsidiary of the Vendor, or any predecessor organization. Additionally, all Vendors are required to disclose to the County all “material” cases filed, pending, or resolved against any principal of Vendor, regardless of whether the principal was associated with Vendor at the time of the “material” cases against the principal, during the last three (3) years prior to the solicitation response.

A case is considered to be “material” if it relates, in whole or in part, to any of the following:

- i. A similar type of work that the vendor is seeking to perform for the County under the current solicitation;
 - ii. An allegation of fraud, negligence, error or omissions, or malpractice against the vendor or any of its principals or agents who would be performing work under the current solicitation;
 - iii. A vendor’s default, termination, suspension, failure to perform, or improper performance in connection with any contract;
 - iv. The financial condition of the vendor, including any bankruptcy petition (voluntary and involuntary) or receivership; or
 - v. A criminal proceeding or hearing concerning business-related offenses in which the vendor or its principals (including officers) were/are defendants.
- b. For each material case, the Vendor is required to provide all information identified in the **Litigation History**. Additionally, the Vendor shall provide a copy of any judgment or settlement of any material case during the last three (3) years prior to the solicitation response. Redactions of any confidential portions of the settlement agreement are only permitted upon a certification by the Vendor that all redactions are required under the express terms of a pre-existing confidentiality agreement or provision.
- c. The County will consider a Vendor’s litigation history information in its review and determination of responsibility.
- d. If the Vendor is a joint venture, the information provided should encompass the joint venture and each of the entities forming the joint venture.
- e. A vendor is required to disclose to the County any and all cases(s) that exist between the County and any of the Vendor’s subcontractors/subconsultants proposed to work on this project during the last five (5) years prior to the solicitation response.
- f. Failure to disclose any material case, including all requested information in connection with each such case, as well as failure to disclose the Vendor’s subcontractors/subconsultants litigation history against the County, may result in the Vendor being deemed non-responsive.

2. **Financial Information**

- a. All Vendors are required to submit the Vendor’s financial statements by the due date and time specified in the solicitation, in order to demonstrate the Vendor’s financial capabilities. If not submitted with solicitation response, it must be submitted within three business days of County’s written request.

- b. Each Vendor shall submit its most recent two years of financial statements for review. The financial statements are not required to be audited financial statements. The annual financial statements shall be in the form of:
 - i. Balance sheets, income statements and annual reports; or
 - ii. Tax returns; or
 - iii. SEC filings.

If tax returns are submitted, ensure it does not include any personal information (as defined under Section 501.171, Florida Statutes), such as social security numbers, bank account or credit card numbers, or any personal pin numbers. If any personal information data is part of financial statements, redact information prior to submitting a response to the County.

- c. If a Vendor has been in business for less than the number of years of required financial statements, then the Vendor must disclose all years that the Vendor has been in business, including any partial year-to-date financial statements.
- d. The County may consider the unavailability of the most recent year's financial statements and whether the Vendor acted in good faith in disclosing the financial documents in its evaluation.
- e. Any claim of confidentiality on financial statements should be asserted at the time of submittal. Refer to Standard Instructions to Vendors, Confidential Material/Public Records and Exemptions for instructions on submitting confidential financial statements. The Vendor's failure to provide the information as instructed may lead to the information becoming public.
- f. Although the review of a Vendor's financial information is an issue of responsibility, the failure to either provide the financial documentation or correctly assert a confidentiality

claim pursuant to the Florida Public Records Law and the solicitation requirements (Confidential Material/ Public Records and Exemptions section) may result in a recommendation of non-responsiveness by the Director of Purchasing.

3. **Authority to Conduct Business in Florida**

- a. A Vendor must have the authority to transact business in the State of Florida and be in good standing with the Florida Secretary of State. For further information, contact the Florida Department of State, Division of Corporations.
- b. The County will review the Vendor's business status based on the information submitted with the solicitation response.
- c. It is the Vendor's sole responsibility to comply with all state and local business requirements.
- d. Vendor should list its active Florida Department of State Division of Corporations Document Number (or Registration No. for fictitious names) in the **Vendor Questionnaire**, Question No. 10.
- e. If a Vendor is an out-of-state or foreign corporation or partnership, the Vendor must obtain the authority to transact business in the State of Florida or show evidence of application for the authority to transact business in the State of Florida, upon request of the County.
- f. A Vendor that is not in good standing with the Florida Secretary of State at the time of a submission to this solicitation may be deemed non-responsible.
- g. If successful in obtaining a contract award under this solicitation, the Vendor must remain in good standing throughout the contractual period of performance.

4. **Affiliated Entities of the Principal(s)**

- a. All Vendors are required to disclose the names of “affiliated entities” of the Vendor’s principal(s) over the last five (5) years (from the solicitation opening deadline) that have acted as a prime Vendor with the County. The Vendor is required to provide all information required on the **Affiliated Entities of the Principal(s) Certification** form.
- b. The County will review all affiliated entities of the Vendor’s principal(s) for contract performance evaluations and the compliance history with the County’s Small Business Program, including CBE, DBE and SBE goal attainment requirements. “Affiliated entities” of the principal(s) are those entities related to the Vendor by the sharing of stock or other means of control, including but not limited to a subsidiary, parent or sibling entity.
- c. The County will consider the contract performance evaluations and the compliance history of the affiliated entities of the Vendor’s principals in its review and determination of responsibility.

5. **Insurance Requirements**

The **Insurance Requirement Form** reflects the insurance requirements deemed necessary for this project. While it is not necessary to have this level of insurance in effect at the time of solicitation response, all Vendors are required to either submit insurance certificates indicating that the Vendor currently carries the level insurance coverages or submit a letter from the insurance carrier indicating Vendor can obtain the required insurance coverages.

C. **Additional Information and Certifications**

The following forms and supporting information (if applicable) should be completed and submitted with the solicitation response. If not submitted with solicitation response, it must be submitted within three business days of County’s written request. Failure to timely submit may affect Vendor’s evaluation.

1. **Vendor Questionnaire and Standard Certifications**

Vendors are required to submit detailed information on their firm and certify to the below requirements. Refer to the **Vendor Questionnaire and Standard Certification** and submit as instructed.

- a. Drug-Free Workplace Certification
- b. Non-Collusion Certification
- c. Public Entities Crimes Certification
- d. Scrutinized Companies List Certification

2. **Subcontractors/Subconsultants/Suppliers Requirement**

If the Subcontractors/Subconsultants/Suppliers Information Form is included in the solicitation, the Vendor shall submit a listing of all subcontractors, subconsultants, and major material suppliers, if any, and the portion of the contract they will perform. Vendors must follow the instructions included on the **Subcontractors/Subconsultants/Suppliers Information Requirement** form and submit as instructed.

D. **Standard Agreement Language Requirements**

The acceptance of or any exceptions taken to the terms and conditions of the County’s Agreement shall be considered a part of a Vendor’s solicitation response and will be considered by the Evaluation Committee.

1. The applicable Agreement terms and conditions for this solicitation are indicated in the **Special Instructions to Vendors**.
2. Vendors are required to review the applicable terms and conditions and submit the **Agreement Exception Form**. The completed form should be submitted with the solicitation response. If not submitted with solicitation response, it shall be deemed an affirmation by the Vendor that it accepts the contract terms and conditions stated in the solicitation.

- b. If exceptions are taken, the Vendor must specifically identify each term and condition with which it is taking an exception. Any exception not specifically listed is deemed waived. Simply identifying a section or article number is not sufficient to state an exception. Provide either a redlined version of the specific change(s) or specific proposed alternative language. Additionally, a brief justification specifically addressing each provision to which an exception

is taken should be provided.

- c. Submission of any exceptions to the Agreement does not denote acceptance by the County. Furthermore, taking exceptions to the County's terms and conditions may be viewed unfavorably by the Evaluation Committee and ultimately may impact the overall evaluation of a Vendor's submittal.

E. Cone of Silence

1. The Board of County Commissioners updated provisions of the Cone of Silence Ordinance, Section 1-266, of the Broward County Code of Ordinances, effective as of April 1, 2022.
2. The County's Cone of Silence Ordinance prohibits all communications, oral or written, relating to a competitive solicitation among vendors/vendor representatives, County Staff, and Commissioner Offices while the Cone is in effect. Communications with Purchasing Division employees, the solicitation's designated Project Manager(s) or designee(s), the Office of Economic and Small Business Development (OESBD) Small Business Development Specialist Supervisor (954) 357-6400, and others as specifically identified in the Cone of Silence Ordinance are permitted. Additionally, communication is permitted at pre-bid conferences and negotiation meetings, as applicable.
3. The Cone of Silence begins upon the advertisement of an ITB, RFP, RFQ, or RLI. The Cone of Silence terminates when the solicitation is awarded, all responses are rejected, or the Board takes other action which ends the solicitation.
4. Any violations of the Code of Silence Ordinance by any vendor/vendor representative, may be reported to the County's Professional Standards/Human Rights Section. If the County's Professional Standards/Human Rights Section determines that a violation has occurred, a fine shall be imposed as provided in the Broward County Code of Ordinances. At the sole discretion of the Broward County Board of County Commissioners, a violation may void an award of the applicable competitive solicitation.
5. Review the Cone of Silence Ordinance, [Section 1-266](#) of the Broward County Code of Ordinances, for more detailed information.

F. Evaluation Criteria

1. The Evaluation Committee will evaluate Vendors as per the **Evaluation Criteria**. The County reserves the right to obtain additional information from a Vendor.
2. Unless the Evaluation Criteria is identified in the solicitation as an Additional Responsiveness or Responsibility Requirement (i.e., Special Instructions to Vendors, e.g., pricing, certifications, etc.), a Vendor's failure to respond to evaluation criteria will not be considered a matter of responsiveness or responsibility. Vendors that fail to submit any information and/or documentation required by an evaluation criteria will not be evaluated or scored for the corresponding evaluation criteria.
3. The County is not required to request, consider, or analyze Vendor's Evaluation Criteria responses received after the solicitation response due date; however, the County reserves the right to obtain clarifying information from a Vendor in writing for the Evaluation Committee.
4. For Request for Proposals - the following shall apply:
 - a. The Director of Purchasing may recommend to the Evaluation Committee to short list the most qualified firms prior to the Final Evaluation.

- b. The Evaluation Criteria identifies points available; a total of 100 points is available.
 - c. If the Evaluation Criteria includes a request for pricing, the total points awarded for price is determined by applying the following formula:
$$\frac{(\text{Lowest Proposed Price}/\text{Vendor's Price}) \times (\text{Maximum Number of Points for Price})}{1} = \text{Price Score}$$
 - d. After completion of scoring, the County may negotiate pricing as in its best interest.
5. For Requests for Letters of Interest or Request for Qualifications - the following shall apply:
- a. The Evaluation Committee will create a short list of the most qualified firms.
 - b. The Evaluation Committee will either:
 - i. Rank shortlisted firms; or
 - ii. If the solicitation is part of a two-step procurement, shortlisted firms will be requested to submit a response to the Step Two procurement.

G. Demonstrations

Refer to **Special Instructions to Vendors** if Demonstrations are applicable. Vendors determined to be both responsive and responsible to the requirements of the solicitation and/or shortlisted (if applicable), will be required to demonstrate the nature of their offered solution. After receipt of solicitation responses, all Vendors will receive a description of, and arrangements for, the desired demonstration. All Vendors will have equal time for demonstrations, but the question-and-answer time may vary.

In accordance with Section 286.0113, Florida Statutes, and pursuant to the direction of the Broward County Board of Commissioners, demonstrations are closed to only the Vendor's team and County staff.

H. Presentations

Vendors that are determined to be both responsive and responsible to the requirements of the solicitation and/or shortlisted (if applicable) will have an opportunity to make an oral presentation to the Evaluation Committee on the Vendor's approach to this project and the Vendor's ability to perform. The committee may provide a list of subject matter for the discussion. All Vendor's will have equal time to present but the question-and-answer time may vary.

In accordance with Section 286.0113 of the Florida Statutes, and the direction of the Broward County Board of Commissioners, presentations during Evaluation Committee Meetings are closed. Only the Evaluation Committee members, County staff and the vendor and their team scheduled for that presentation will be present in the meeting during the presentation and subsequent question and answer period. Subconsultants partnering with multiple prime vendors may only be present during one presentation/question and answer session.

I. Public Art and Design Program

If indicated in Special Instructions to Vendors, Public Art and Design Program, Section 1-88, Broward County Code of Ordinances, applies to this project. It is the intent of the County to functionally integrate art, when applicable, into capital projects and integrate artists' design concepts into this improvement project. The Vendor may be required to collaborate with the artist(s) on design development within the scope of this request. Artist(s) shall be selected by

Broward County through an independent process. For additional information, contact the Broward County Cultural Division.

J. Evaluation Committee Meetings

Evaluation Committee Meetings are posted on Broward County's [Sunshine Meetings](#) website.

K. Committee Appointment

The committee members appointed for this solicitation are available on the Purchasing Division's website under [Committee Appointment](#).

L. Committee Questions, Request for Clarifications, Additional Information

1. At any committee meeting, the Evaluation Committee members may ask questions, request clarification, or require additional information of any Vendor's submittal or proposal. It is highly recommended Vendors attend to answer any committee questions (if requested), including a Vendor representative that has the authority to bind.
2. Vendor's answers may impact evaluation (and scoring, if applicable). Upon written request to the Purchasing Agent prior to the meeting, a conference call number will be made available for Vendor participation via teleconference. Only Vendors that are found to be both responsive and responsible to the requirements of the solicitation and/or shortlisted (if applicable) are requested to participate in a final (or presentation) Evaluation Committee meeting.

M. Vendor Questions

The County provides a specified time for Vendors to ask questions and seek clarification regarding solicitation requirements. All questions or clarification inquiries must be submitted electronically through Periscope S2G by the Question & Answer due date and time specified in the solicitation document (including any addenda). The County will respond to questions electronically through Periscope S2G.

N. Confidential Material/ Public Records and Exemptions

1. Broward County is a public agency subject to Chapter 119, Florida Statutes. Upon receipt, all submittals become "public records" and shall be subject to public disclosure consistent with Chapter 119, Florida Statutes. Submittals may be posted on the County's public website or included in a public records request response unless there is a declaration of "confidentiality" pursuant to the public records law and in accordance with the procedures in this section.
2. Any confidential material(s) the Vendor asserts is exempt from public disclosure under Florida Statutes must be labeled as "Confidential" and marked with the specific statute and subsection asserting exemption from Public Records. Electronic media, including flash drives, must also comply with this requirement and separate any files claimed to be confidential.
3. To submit confidential material, at least one copy (in print or electronic format) must be submitted in a sealed envelope, labeled "Confidential Matter" with the solicitation number, title, date and the time of solicitation opening to:

Broward County Purchasing Division 115
South Andrews Avenue, Room 212 Fort
Lauderdale, FL 33301

4. Any materials that the Vendor claims to be confidential and exempt from public records must be marked and separated from the submittal. If the Vendor does not comply with these instructions, the Vendor's claim for confidentiality will be deemed as waived.
5. Submitting confidential material may impact full discussion of your submittal by the Evaluation Committee because the Committee will be unable to discuss the details contained in the documents cloaked as confidential at the publicly noticed Committee meeting.

O. Copyrighted Materials

Copyrighted material is not exempt from the Public Records Law, Chapter 119, Florida Statutes. Submission of copyrighted material in response to any solicitation will constitute a license and permission for the County to use, reproduce, and publish (including both hard copy and electronic copies) as reasonably necessary for the evaluation of the solicitation response by County staff and agents, as well as to make the materials available for inspection or production pursuant to Public Records Law, Chapter 119, Florida Statutes.

P. State and Local Preferences

If the solicitation involves a federally funded project where the fund requirements prohibit the use of state and/or local preferences, such preferences contained in the Local Preference Ordinance and Broward County Procurement Code will not be applied in the procurement process.

Q. Local Preference

The following local preference provisions shall apply except where otherwise prohibited by federal or state law or other funding source restrictions.

For all competitive solicitations in which objective factors used to evaluate the responses from vendors are assigned point totals:

- a. Five percent (5%) of the available points (for example, five points of a total 100 points) shall be awarded to each locally based business and to each joint venture composed solely of locally based businesses, as applicable;
- b. Three percent (3%) of the available points shall be awarded to each locally based subsidiary and to each joint venture that is composed solely of locally based subsidiaries, as applicable;and
- c. For any other joint venture, points shall be awarded based upon the respective proportion of locally based businesses and locally based subsidiaries' equity interests in the joint venture.

If, upon the completion of final rankings (technical and price combined, if applicable) by the Evaluation Committee, a nonlocal vendor is the highest ranked vendor and one or more Local Businesses (as defined by Section 1-74 of the Broward County Code of Ordinances) are within five percent (5%) of the total points obtained by the nonlocal vendor, the highest ranked Local Business shall be deemed to be the highest ranked vendor overall, and the County shall

proceed to negotiations with that vendor. If impasse is reached, the County shall next proceed to negotiations with the next highest ranked Local Business that was within five percent (5%) of the total points obtained by the nonlocal vendor, if any.

Refer to Section 1-75 of the Broward County Local Preference Ordinance and the **Location Certification Form** for further information.

R. Tiebreaker Criteria

In accordance with Section 21.42(d) of the Broward County Procurement Code, the tiebreaker criteria shall be applied based upon the information provided in the Vendor's response to the solicitation.

In order to receive credit for any tiebreaker criterion, complete and accurate information must be contained in the Vendor's submittal.

1. Location Certification Form;
2. Domestic Partnership Act Certification;
3. Tiebreaker Criteria Form: Volume of Payments Over Five Years

S. Posting of Solicitation Results and Recommendations

The Broward County Purchasing Division's website is the location for the County's posting of all solicitations and recommendation for award and recommendation of rankings. It is the obligation of each Vendor to monitor the website in order to obtain complete and timely information.

T. Review and Evaluation of Responses

An Evaluation Committee is responsible for recommending the most qualified Vendor(s).The process for this procurement may proceed in the following manner:

1. The Purchasing Division delivers the solicitation submittals to agency staff for summarization forthe committee members. Agency staff prepares a report, including a matrix of responses submitted by the Vendors. This may include a technical review, if applicable. If a demonstration is required, County will appoint a Technical Review Team ("TRT") to view all Vendor demonstrations. The TRT will be comprised of County staff with specific subject matter expertise. The TRT will review all Vendor demonstrations for compliance with the Demonstration Script. The Project Manager will compile the results of each Vendor's demonstration into a final

TRT Report. The TRT Report will be distributed to the Evaluation Committee members prior to the Final Evaluation Meeting.

2. A solicitation may only be awarded to a vendor whose submission is responsive to the requirements of the solicitation. The Director of Purchasing shall determine whether submissions are responsive. For solicitations in which an Evaluation Committee has been appointed, the Director of Purchasing's determination regarding responsiveness is not binding on the Evaluation Committee, which may accept or reject such determination but must state with specificity the basis for any rejection thereof.
3. The Evaluation Committee, with assistance of the Purchasing Division and based on information provided by the applicable County Agencies and the Office of the County

Attorney, shall determine whether vendors who have submitted responsive submissions are responsible. Notwithstanding the foregoing, the awarding authority for a solicitation shall have the ultimate authority to determine whether vendors who have submitted responsive submissions are responsible. When making determinations of responsibility, the Director of Purchasing or the Evaluation Committee (as applicable) may request additional information from any vendor on matters that may affect a vendor's responsibility. The failure of a vendor to provide information requested by the County may result in a determination of non-responsibility. In addition, a vendor may submit information regarding its responsibility; provided, however, that such information shall not be considered if it contradicts or materially alters the information provided by the vendor in its original response to the solicitation.

U. Vendor Protest

Part X of the Broward County Procurement Code sets forth procedural requirements that apply if a Vendor intends to protest a solicitation or proposed award of a contract and states in part the following:

1. Any written protest concerning the specifications or requirements of a solicitation (or of any addenda thereto) must be received by the Director of Purchasing within five (5) business days after the applicable solicitation (or addenda) is posted on the Purchasing Division's website.
2. Any written protest concerning a proposed award or ranking must be received by the Director of Purchasing within five (5) business days after the proposed award or ranking is posted on the Purchasing Division's website.
3. Calculation of Days. Unless otherwise expressly stated, all references to "days" mean calendar days between the hours of 8:30 a.m. and 5:00 p.m., excluding days that are County holidays. All references to "business days" mean Monday through Friday between the hours of 8:30 a.m. and 5:00 p.m., excluding days that are County holidays. In calculating time periods, the day of the event that triggers the time period shall be excluded from the calculation (for example, objections to a ranking must be filed within three (3) business days after the ranking is posted, so an objection to a ranking posted on a Monday must be filed no later than 5:00 p.m. on Thursday). Failure to file a written protest so that it is received by the Director of Purchasing within the timeframes set forth in Part X of the Broward County Procurement Code shall constitute a waiver of the right to protest. A protest submitted to anyone other than the Director of Purchasing shall not be a valid protest.
4. Except as to any protest of the specifications or requirements of a solicitation, as a condition of initiating any protest, the protestor must, concurrently with filing the protest, pay a filing fee for the purpose of defraying the costs in administering the protest in accordance with the scheduled provided below. The filing fee shall be refunded if the protestor prevails in the protest. Failure to timely pay the required filing fee shall render the protest invalid.

<u>Estimated Contract Amount</u>	<u>Filing Fee</u>
Mandatory Bid Amount up to \$250,000	\$500
\$250,000 - \$500,00	\$1,000
\$500,001 - \$5 million	\$3,000

Over \$5 million

\$5,000

The estimated contract amount shall be the total bid amount offered by the protesting vendor in its response to the solicitation, inclusive of any contract renewals or extensions. If no bid amount was submitted by the protestor, the estimated contract amount shall be the County's estimated contract price for the procurement. The County will accept a filing fee in the of a money order, certified check, or cashier's check, payable to "Broward County," or other manner of payment approved by the Director of Purchasing.

V. Right To Appeal

The protestor may appeal the Director of Purchasing's denial of the protest with respect to the proposed award of a solicitation in accordance with Part XII of the Broward County Procurement Code. Decisions by the Director of Purchasing with respect to the specifications or requirements of a solicitation may only be appealed to the County Administrator or their designee, who shall determine the method, timing, and process of the appeal and whose decision shall be final.

1. The appeal must be received by the Director of Purchasing within ten (10) days after the date of the determination being appealed.
2. The appeal must be accompanied by an appeal bond by a Vendor having standing to protest and must comply with all other requirements of Part XII of the Broward County Procurement Code.
3. Except as otherwise provided by law, the filing of an appeal is an administrative remedy that must be exhausted prior to the filing of any civil action against the County concerning any subject matter that, had an appeal been filed, could have been addressed as part of the appeal.

W. Rejection of Responses

The Director of Purchasing may reject all responses to a solicitation, even when only one response is received, if the Director of Purchasing determines that doing so would be in the best interest of the County; provided, however, that only the Board may reject all responses to a solicitation where the issuance of the solicitation was approved by the Board.

X. Negotiations

Once a ranking is deemed final, the County shall commence contract negotiations with the top-ranked vendor (or, if provided in the solicitation, with multiple top-ranked vendors simultaneously). If the negotiation does not result in mutually satisfactory contract terms within a reasonable time, as determined by the Director of Purchasing, then the Director of Purchasing may terminate negotiations with the applicable vendor and commence (or continue, if the solicitation provided for negotiation with multiple top-ranked vendors) negotiations with the next-ranked vendor(s) or issue a new solicitation, as the Director of Purchasing determines to be in the best interest of the County.

Y. Submittal Instructions:

1. Broward County does not require any personal information (as defined under Section 501.171, Florida Statutes), such as social security numbers, driver license numbers, passport, military ID, bank account or credit card numbers, or any personal pin numbers, in order to submit a response for ANY Broward County solicitation. DO NOT INCLUDE any personal information data in any document submitted to the County. If any

personal information data is part of a submittal, this information must be redacted prior to submitting a response to the County.

2. Vendor MUST submit its solicitation response electronically through Periscope S2G and MUST confirm its solicitation response in order for the County to receive a valid response through Periscope S2G. It is the Vendor's sole responsibility to assure its response is submitted and received through Periscope S2G by the date and time specified in the solicitation.

3. The County will not consider solicitation responses received by other means. Vendors are encouraged to submit their responses in advance of the due date and the time specified in the solicitation. In the event that the Vendor is having difficulty submitting the solicitation response electronically through Periscope S2G, immediately notify the Purchasing Agent and then contact Periscope S2G for technical assistance.
4. Vendor must view, submit, and/or accept each of the documents in Periscope S2G. Web-fillable forms can be filled out and submitted through Periscope S2G.
5. After all documents are viewed, submitted, and/or accepted in Periscope S2G, the Vendor must upload additional information requested by the solicitation (i.e. Evaluation Criteria and Financial Statements) in the Item Response Form in Periscope S2G, under line one (regardless if pricing requested). Evaluation Criteria responses should be non-locked file format.
6. If the Vendor is declaring any material confidential and exempt from Public Records, refer to Confidential Material/ Public Records and Exemptions for instructions on submitting confidential material.
7. After all files are uploaded, Vendor must submit and CONFIRM its offer (by entering password) for offer to be received electronically through Periscope S2G.
8. If a solicitation requires an original Proposal Bond (per Special Instructions to Vendors), Vendor must submit in a sealed envelope, labeled with the solicitation number, title, date and the time of solicitation opening to:

Broward County Purchasing Division 115
South Andrews Avenue, Room 212 Fort
Lauderdale, FL 33301

9. A copy of the Proposal Bond should also be uploaded into Periscope S2G; this does not replace the requirement to have an original proposal bond. Vendors must submit the original Proposal Bond, by the due date and time specified in the solicitation.

Revised June 15, 2023

Supplier: **Blaylock Van, LLC**

**Procurement Preferences for
Broward County Small Business Enterprises and County Business Enterprises**

This should be returned with the Vendor's submittal and will be used for informational purposes.

In accordance with Broward County Ordinance, Section 1.81, non-reserved solicitations (for certified Small Business Enterprises (SBEs) or County Business Enterprises (CBEs) and solicitations without any assigned CBE goals, a responding Broward County certified SBE or CBE may be eligible for a procurement preference, in accordance with below:

For Invitations to Bid and Quotation Requests:

If a responsive, responsible bid is received from a certified CBE or SBE that is within ten percent (10%) of the lowest responsive, responsible bid received from a non-certified (SBE or CBE) firm, the SBE or CBE (as applicable) shall be offered the opportunity to match the lowest responsive, responsible bid. If the SBE or CBE firm (as applicable) is responsive and responsible, and matches the lowest responsive, responsible bid, the CBE or SBE firm shall be recommended for award.

For Request for Proposals:

If upon the completion of final rankings by the Evaluation Committee, a non-certified proposer is the highest-ranked proposer, and a responsive, responsible SBE or CBE proposer receives a score that is within five percent (5%) of the score obtained by the non-certified proposer, the highest-ranked responsive, responsible SBE or CBE proposer shall be considered the highest-ranked proposer and shall have the opportunity to proceed to negotiations with the County for award of the contract.

Vendor should indicate below if the firm is a currently certified Broward County SBE and/or CBE firm. If the firm does not indicate it is an SBE or CBE, preference may not be applied based on information received but certification will be verified in the Broward County OESBD [Certified Firm Directory](#). Vendor must be certified at time of solicitation opening (due date).

This does not substitute for certification or application for certification.

- ☐ Firm is a Broward County certified SBE.
- ☐ Firm is a Broward County certified CBE
- ☒ Firm is not a Broward County certified SBE or CBE

Vendor Name **Blaylock Van, LLC**

For questions regarding the Broward County SBE and CBE certifications, please contact Office of Economic and Small Business Development at 954-357-6400.

Revised May 1, 2021

Supplier: **Blaylock Van, LLC**

VENDOR QUESTIONNAIRE AND STANDARD CERTIFICATIONS
Request for Proposals, Request for Qualifications, or Request for Letters of Interest

The completed form, including acknowledgment of the standard certifications and should be submitted with the solicitation response. If not submitted with solicitation response, it must be submitted within three business days of County's written request. Failure to timely submit may affect Vendor's evaluation.

If a response requires additional information, the Vendor should upload a written detailed response with submittal; each response should be numbered to match the question number. The completed questionnaire and attached responses will become part of the procurement record. It is imperative that the person completing the Vendor Questionnaire be knowledgeable about the proposing Vendor's business and operations.

1. Legal business name: **Blaylock Van, LLC**
2. Doing Business As/ Fictitious Name (if applicable): **Blaylock Van, LLC**
3. Federal Employer I.D. no. (FEIN): **20-8718270**
4. Dun and Bradstreet No.: **786921551**
5. Website address (if applicable): **https://brv-llc.com/**
6. Principal place of business address: **600 Lexington Ave, 3rd Fl
New York, NY 10022**
7. Office location responsible for this project: **11340 Lakefield Drive Suite 200
Johns Creek, GA 30097**
8. Telephone no.: **(678) 566-3653** Fax no.: **212-715-3300**

9. Type of business (check appropriate box):

- | | |
|--|-------------------------------------|
| Corporation (specify the state of incorporation: | <input type="checkbox"/> |
| Sole Proprietor | <input type="checkbox"/> |
| Limited Liability Company (LLC) | <input checked="" type="checkbox"/> |
| Limited Partnership | <input type="checkbox"/> |
| General Partnership (State and County Filed In) | <input type="checkbox"/> |
| Other – Specify | <input type="checkbox"/> |

10. List [Florida Department of State, Division of Corporations](#) document number (or registration number if fictitious name):

11. List name and title of each principal, owner, officer, and major shareholder:

- a) **Jervis B. Hough, principal**
- b) **Eric Van Standifer, CEO & Owner**

- c) **Ron Blaylock, Owner**
d)

12. AUTHORIZED CONTACT(S) FOR YOUR FIRM:

Name: **Jervis B. Hough**

Title: **Chief Operating Officer**

E-mail: **jhough@brv-llc.com**

Telephone No.: **(678) 566-3653**

Name:

Title:

E-mail:

Telephone No.:

13. Has your firm, its principals, officers or predecessor organization(s) been debarred or suspended by any government entity within the last three years? If yes, specify details in an attached written response. ☐ Yes ☒ No
14. Has your firm, its principals, officers or predecessor organization(s) ever been debarred or suspended by any government entity? If yes, specify details in an attached written response, including the reinstatement date, if granted. ☐ Yes ☒ No
15. Has your firm ever failed to complete any services and/or delivery of products during the last three (3) years? If yes, specify details in an attached written response. ☐ Yes ☒ No
16. Is your firm or any of its principals or officers currently principals or officers of another organization? If yes, specify details in an attached written response. ☐ Yes ☒ No
17. Have any voluntary or involuntary bankruptcy petitions been filed by or against your firm, its parent or subsidiaries or predecessor organizations during the last three years? If yes, specify details in an attached written response. ☐ Yes ☒ No
18. Has your firm's surety ever intervened to assist in the completion of a contract of have Performance and/or Payment Bond claims been made to your firm or its predecessor's sureties during the last three years? If yes, specify details in an attached written response, including contact information for owner and surety. ☐ Yes ☒ No
19. Has your firm ever failed to complete any work awarded to you, services and/or delivery of products during the last three (3) years? If yes, specify details in an attached written response. ☐ Yes ☒ No
20. Has your ever been terminated from a contract within the last three years? If yes, specify details in an attached written response. ☐ Yes ☒ No
21. Living Wage solicitations only: In determining what, if any, fiscal impact(s) are a result of the Ordinance for this solicitation, provide the following for informational purposes only. Response is not considered in determining the award of this contract.
- Living Wage had an effect on the pricing. ☐ Yes ☐ No ☐ N/A
- If yes, Living Wage increased the pricing by: %.

22. Participation in Solicitation Development:

☒ I have not participated in the preparation or drafting of any language, scope, or specification that would provide my firm or any affiliate an unfair advantage of securing this solicitation that has been let on behalf of Broward County Board of County Commissioners.

☐ I have provided information regarding the specifications and/or products listed in this solicitation that has been let on behalf of Broward County Board of County Commissioners.

If this box is checked, provide the following: Name of Person the information was provided:

Title:

Date information provided:

For what purpose was the information provided?

Drug-Free Workplace Requirements Certification:

Section 21.23(f) of the Broward County Procurement Code requires awards of all competitive solicitations requiring Board award be made only to firms certifying the establishment of a drug free workplace program.

- ☒ The Vendor hereby certifies that it has established a drug free workplace program in accordance with the requirements of Section 1-71, et. Seq., of the Broward County Code of Ordinances (Procurement From Businesses With Drug-Free Workplace Program).

Non-Collusion Certification:

Vendor shall disclose, to their best knowledge, any Broward County officer or employee, or any relative of any such officer or employee as defined in Section 112.3135 (1) (c), Florida Statutes, who is an officer or director of, or has a material interest in, the Vendor's business, who is in a position to influence this procurement. Any Broward County officer or employee who has any input into the writing of specifications or requirements, solicitation of offers, decision to award, evaluation of offers, or any other activity pertinent to this procurement is presumed, for purposes hereof, to be in a position to influence this procurement. Failure of a Vendor to disclose any relationship described herein shall be reason for debarment in accordance with the provisions of the Broward County Procurement Code.

The Vendor hereby certifies that: (select one)

- ☒ The Vendor certifies that this offer is made independently and free from collusion; or
- ☐ The Vendor is disclosing names of officers or employees who have a material interest in this procurement and is in a position to influence this procurement. Vendor must include a list of name(s), and relationship(s) with its submittal.

Public Entities Crimes Certification:

In accordance with Public Entity Crimes, Section 287.133, Florida Statutes, a person or affiliate placed on the convicted vendor list following a conviction for a public entity crime may not submit on a contract: to provide any goods or services; for construction or repair of a public building or public work; for leases of real property to a public entity; and may not be awarded or perform work as a contractor, supplier, subcontractor, or consultant under a contract with any public entity; and may not transact business with any public entity in excess of the threshold amount provided in s. 287.017 for Category Two for a period of 36 months following the date of being placed on the convicted vendor list.

The Vendor hereby certifies that: (check box)

- ☒ The Vendor certifies that no person or affiliates of the Vendor are currently on the convicted vendor list and/or has not been found to commit a public entity crime, as described in the statutes.

Scrutinized Companies List Certification:

Any company, principals, or owners on the Scrutinized Companies with Activities in Sudan List, the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List is prohibited from submitting a response to a solicitation for goods or services in an amount equal to or greater than \$1 million.

The Vendor hereby certifies that: (check each box)

- ☒ The Vendor, owners, or principals are aware of the requirements of Sections 287.135, 215.473, and 215.4275, Florida Statutes, regarding Companies on the Scrutinized Companies with Activities in Sudan List the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List; and
- ☒ The Vendor, owners, or principals, are eligible to participate in this solicitation and are not listed on either the Scrutinized Companies with Activities in Sudan List, the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List; and
- ☒ If awarded the Contract, the Vendor, owners, or principals will immediately notify the County in writing if any of its principals are placed on the Scrutinized Companies with Activities in Sudan List, the Scrutinized Companies with Activities in the Iran Petroleum Energy Sector List, or the Scrutinized Companies that Boycott Israel List.

I hereby certify the information provided in the Vendor Questionnaire and Standard Certifications:

Jervis Hough
*AUTHORIZED SIGNATURE/NAME

Chief Operating Officer
TITLE

8/4/2023
DATE

Vendor Name: **Blaylock Van, LLC**

* I certify that I am authorized to sign this solicitation response on behalf of the Vendor as indicated in Certificate as to Corporate Principal, designation letter by Director/Corporate Officer, or other business authorization to bind on behalf of the Vendor. As the Vendor's authorized representative, I attest that any and all statements, oral, written or otherwise, made in support of the Vendor's response, are accurate, true and correct. I also acknowledge that inaccurate, untruthful, or incorrect statements made in support of the Vendor's response may be used by the County as a basis for rejection, rescission of the award, or termination of the contract and may also serve as the basis for debarment of Vendor pursuant to PART XI of the Broward County Procurement Code. I certify that the Vendor's response is made without prior understanding, agreement, or connection with any corporation, firm or person submitting a response for the same items/services, and is in all respects fair and without collusion or fraud. I also certify that the Vendor agrees to abide by all terms and conditions of this solicitation, acknowledge and accept all of the solicitation pages as well as any special instructions sheet(s).

Supplier: **Blaylock Van, LLC**

LOBBYIST REGISTRATION REQUIREMENT CERTIFICATION

The completed should be submitted with the solicitation response but must be submitted within three business days of County's request. Vendor may be deemed non-responsive for failure to fully comply within stated timeframes.

The Vendor certifies that it understands if it has retained a lobbyist(s) to lobby in connection with a competitive solicitation, it shall be deemed non-responsive unless the firm, in responding to the competitive solicitation, certifies that each lobbyist retained has timely filed the registration or amended registration required under Broward County Lobbyist Registration Act, Section 1-262, Broward County Code of Ordinances; and it understands that if, after awarding a contract in connection with the solicitation, the County learns that the certification was erroneous, and upon investigation determines that the error was willful or intentional on the part of the Vendor, the County may, on that basis, exercise any contractual right to terminate the contract for convenience.

The Vendor hereby certifies that: (select one)

- ☒ It has not retained a lobbyist(s) to lobby in connection with this competitive solicitation; however, if retained after the solicitation, the County will be notified.
- ☐ It has retained a lobbyist(s) to lobby in connection with this competitive solicitation and certified that each lobbyist retained has timely filed the registration or amended registration required under Broward County Lobbyist Registration Act, Section 1-262, Broward County Code of Ordinances.

It is a requirement of this solicitation that the names of any and all lobbyists retained to lobby in connection with this solicitation be listed below:

Name of Lobbyist:

Lobbyist's Firm:

Phone:

E-mail:

Name of Lobbyist:

Lobbyist's Firm:

Phone:

E-mail:

Jervis Hough
Authorized Signature/Name

Blaylock Van, LLC
Vendor Name

Chief Operating Officer
TITLE

8/4/2023
DATE

Revised May 1, 2021

Supplier: **Blaylock Van, LLC**

DOMESTIC PARTNERSHIP ACT CERTIFICATION

The Domestic Partnership Act, Sections 16 ½ - 150 through 16 ½ -165, Broward County Code of Ordinances (the "Act") requires any Vendors contracting with the County, in an amount over \$100,000 provide benefits to registered domestic partners of its employees, on the same basis as it provides benefits to employees' spouses, with certain exceptions as provided by the Act.

Refer to applicable section below based on solicitation type. Failure to submit this form by stated timeframes will deem the Vendor nonresponsive to the solicitation or ineligible for the Domestic Partnership tiebreaker, as applicable.

For Invitation for Bids:

The completed and signed form should be returned with the Vendor's submittal. If not provided with the submittal, the Vendor must submit this form within three business days after County's request. A Vendor shall be deemed non-responsive for failure to fully comply within stated timeframes.

For Request for Proposals (RFPs), Request for Letters of Interest (RLIs), or Request for Qualifications (RFQs):

For the solicitation types referenced in this section, this form can be used for multiple purposes. For solicitations that contain Competitive Consultants' Negotiation Act (CCNA) requirements, this form will be used for tiebreaker criterion only.

1. Domestic Partnership Responsiveness Requirement

If Domestic Partnership is a requirement of the solicitation (refer to Special Instructions to Vendors), this completed and signed form should be returned with the Vendor's submittal. If not provided with the submittal, the Vendor must submit this form within three business days after County's request. A Vendor shall be deemed non-responsive for failure to fully comply within stated timeframes.

2. Domestic Partnership Tiebreaker

To be eligible for the Domestic Partnership tiebreaker, **the Vendor must currently offer the Domestic Partnership benefit and the completed and signed form must be returned at the time of solicitation submittal.** Vendors who fail to comply with this submittal deadline will not be eligible for the Domestic Partnership tiebreaker.

For all submittals over \$100,000.00, the Vendor, by virtue of the signature below, certifies that it is aware of the requirements of Broward County's Domestic Partnership Act, Sections 16-½ -150 through 16 ½ - 165, Broward County Code of Ordinances; and certifies the following: (check only one below).

- ☐ 1. The Vendor currently complies with the requirements of the County's Domestic Partnership Act and provides benefits to Domestic Partners (as defined in the Act) of its employees on the same basis as it provides benefits to employees' spouses.
- ☒ 2. The Vendor will comply with the requirements of the County's Domestic Partnership Act at time of contract award and for the duration of the contract by providing benefits to Domestic Partners (as defined in the Act) of its employees on the same basis as it provides benefits to employees' spouses.
- ☐ 3. The Vendor will not comply with the requirements of the County's Domestic Partnership Act at time of award.

- ☐ 4. The Vendor does not need to comply with the requirements of the County's Domestic Partnership Act at time of award because the following exception(s) applies: **(check only one below)**.
- ☐ The Vendor employs less than five (5) employees.
 - ☐ The Vendor does not provide benefits to employees' spouses.
 - ☐ The Vendor is a governmental entity.
 - ☐ The Vendor is a religious organization, association, society, or any non-profit charitable or educational institution or organization operated, supervised, or controlled by or in conjunction with a religious organization, association, or society.
 - ☐ The Vendor provides an employee the cash equivalent of benefits. (Attach an affidavit in compliance with the Act stating the efforts taken to provide such benefits and the amount of the cash equivalent).
 - ☐ The Vendor cannot comply with the provisions of the Domestic Partnership Act because it would violate the laws, rules or regulations of federal or state law or would violate or be inconsistent with the terms or conditions of a grant or contract with the United States or State of Florida. (Indicate the law, statute or regulation and attach explanation of its applicability).

Jervis Hough

Chief Operating Officer

Blaylock Van,
LLC

8/4/2023

Authorized Signature/Name

Title

Vendor

Date

Revised January 24, 2023

Supplier: **Blaylock Van, LLC****LITIGATION HISTORY FORM**

The completed form(s) should be returned with the Vendor's submittal. If not provided with submittal, the Vendor must submit within three business days of County's request. Vendor may be deemed non-responsive for failure to fully comply within stated timeframes.

- ☒ There are no material cases for this Vendor; or
☐ Material Case(s) are disclosed below:

Is this for a: (check type) <input type="checkbox"/> Parent, <input type="checkbox"/> Subsidiary, or <input type="checkbox"/> Predecessor Firm?	If Yes, name of Parent/Subsidiary/Predecessor: Or No <input type="checkbox"/>
Party	
Case Number, Name, and Date Filed	
Name of Court or other tribunal	
Type of Case	Bankruptcy <input type="checkbox"/> Civil <input type="checkbox"/> Criminal <input type="checkbox"/> Administrative/Regulatory <input type="checkbox"/>
Claim or Cause of Action and Brief description of each Count	
Brief description of the Subject Matter and Project Involved	
Disposition of Case (Attach copy of any applicable Judgment, Settlement Agreement and Satisfaction of Judgment.)	Pending <input type="checkbox"/> Settled <input type="checkbox"/> Dismissed <input type="checkbox"/> Judgment Vendor's Favor <input type="checkbox"/> Judgment Against Vendor <input type="checkbox"/> If Judgment Against, is Judgment Satisfied? <input type="checkbox"/> Yes <input type="checkbox"/> No
Opposing Counsel	Name: Email: Telephone Number:

Vendor Name: Blaylock Van, LLC

Revised May 1, 2021

Supplier: **Blaylock Van, LLC**

CRIMINAL HISTORY SCREENING PRACTICES CERTIFICATION FORM

The completed and signed form should be returned with Vendor's submittal. If Vendor does not provide it with the submittal, Vendor must submit the completed and signed form within three business days after County's request. Vendor shall be deemed nonresponsive for failure to fully comply within stated timeframes.

Section 26-125(d) of the Broward County Code of Ordinances ("Criminal History Screening Practices") requires that a Vendor seeking a contract in the amount of \$100,000 or more with Broward County shall certify that it has implemented, or will implement upon award of the contract, policies, practices, and procedures regarding inquiry into the criminal history of an applicant for employment, including a criminal history background check of any such person, that preclude inquiry into an applicant's criminal history until the applicant is selected as a finalist and interviewed for the position. The requirement in the preceding sentence shall apply only to positions located within the United States that will foreseeably perform work under a contract with Broward County. The failure of Vendor to comply with Section 26-125(d) at any time during the contract term shall constitute a material breach of the contract, entitling Broward County to pursue any remedy permitted under the contract and any other remedy provided under applicable law. If Vendor fails to comply with Section 26-125(d) at any time during the contract term, Broward County may, in addition to all other available remedies, terminate the contract and Vendor may be subject to debarment or suspension proceedings consistent with the procedures in Chapter 21 of the Broward County Administrative Code.

By signing below, Vendor certifies that it is aware of the requirements of Section 26-125(d), Broward County Code of Ordinances, and certifies the following: (check only one below).

☒ Vendor certifies that, for positions located within the United States that will foreseeably perform work under a contract with Broward County, it has implemented, or will implement upon award of the contract, policies, practices, and procedures regarding inquiry into the criminal history of an applicant for employment, including a criminal history background check of any such person, that preclude inquiry into an applicant's criminal history until the applicant is selected as a finalist and interviewed for the position.

☐ Vendor is exempt from the requirements of Section 26-125(d) of the Broward County Code of Ordinances because Vendor is required by applicable federal, state, or local law to conduct a criminal history background check in connection with potential employment at a time or in a manner that would otherwise be prohibited by this section, or because Vendor is a governmental agency.

AUTHORIZED SIGNATURE/ NAME: **Jervis Hough**

VENDOR NAME: **Blaylock Van, LLC**

TITLE: **Chief Operating Officer**

DATE: **8/4/2023**

Revised June 17, 2022

Supplier: **Blaylock Van, LLC**

AGREEMENT EXCEPTION FORM

The completed form(s) should be submitted with the solicitation response. If not submitted with solicitation response, it shall be deemed an affirmation by the Vendor that it accepts contract terms and conditions stated in the solicitation.

The Vendor must provide on the form below, any and all exceptions it takes to the contract terms and conditions stated in the solicitation, including all proposed modifications to the contract terms and conditions or proposed additional terms and conditions. Additionally, a brief justification specifically addressing each provision to which an exception is taken should be provided.

There are no exceptions to the contract terms and conditions state in this solicitation; or



The following exceptions are taken to the contract terms and conditions state in this solicitation:
(use additional forms as needed; separate each Article/ Section number)



Term or Condition Article / Section	Insert proposed modifications to the contract terms and conditions or proposed additional terms and condition	Provide brief justification for proposed modifications

Vendor Name: Pkutch@brv-llc.com

Revised May 1, 2021

Supplier: **Blaylock Van, LLC**

AFFILIATED ENTITIES OF THE PRINCIPAL(S) CERTIFICATION

The completed form should be submitted with the solicitation response. If not submitted with solicitation response, it must be submitted within three business days of County's request. Failure to timely submit may result in Vendor being deemed non-responsive.

- a. All Vendors are required to disclose the names and addresses of "affiliated entities" of the Vendor's principal(s) over the last five (5) years (from the solicitation opening deadline) that have acted as a prime Vendor with the County.
- b. The County will review all affiliated entities of the Vendor's principal(s) for contract performance evaluations and the compliance history with the County's Small Business Development Program, including County Business Enterprise (CBE), Disadvantaged Business Enterprise (DBE) and Small Business Enterprise (SBE) goal attainment requirements. "Affiliated entities" of the principal(s) are those entities related to the Vendor by the sharing of stock or other means of control, including but not limited to a subsidiary, parent or sibling entity.
- c. The County will consider the contract performance evaluations and the compliance history of the affiliated entities of the Vendor's principals in its review and determination of responsibility.

The Vendor hereby certifies that: (select one)

- ☒ No principal of the proposing Vendor has prior affiliations that meet the criteria defined as "Affiliated entities"
- ☐ Principal(s) listed below have prior affiliations that meet the criteria defined as "Affiliated entities"

Principal's Name:

Names of Affiliated Entities:

Principal's Name:

Names of Affiliated Entities:

Principal's Name:

Names of Affiliated Entities:

Authorized Signature Name: **Blaylock Van, LLC**

Title: **Chief Operating Officer**

Vendor Name: **Blaylock Van, LLC**

Date: **8/4/2023**

Revised 11/24/2021

Supplier: **Blaylock Van, LLC**

LOCATION CERTIFICATION

Refer to applicable sections for submittal instructions. Failure to submit required forms or information by stated timeframes will deem vendor ineligible for local preference or location tiebreaker.

Broward County [Code of Ordinances, Section 1-74](#), et seq., provides certain preferences to Local Businesses, Locally Based Businesses, and Locally Based Subsidiaries, and the [Broward County Procurement Code](#) provides location as the first tiebreaker criteria. Refer to the ordinance for additional information regarding eligibility for local preference.

For Invitation for Bids:

To be eligible for the Local Preference best and final offer ("BAFO") and location tiebreaker, the Vendor **must** submit this fully completed form and a copy of its Broward County local business tax receipt **at the same time it submits its bid. Vendors who fail to comply with this submittal deadline will not be eligible for either the BAFO or the location tiebreaker.**

For Request for Proposals (RFPs), Request for Letters of Interest (RLIs), or Request for Qualifications (RFQs):

For Local Preference eligibility, the Vendor **should** submit this fully **completed form** and **all Required Supporting Documentation** (as indicated below) at the time Vendor submits its response to the procurement solicitation. If not provided with submittal, the Vendor **must** submit within three business days after County's written request. Failure to submit required forms or information by stated timeframes will deem the Vendor ineligible for local preference.

To be eligible for the location tiebreaker, **the Vendor must submit this fully completed form and a copy of its Broward County local business tax receipt at the same time it submits its response.** Vendors who fail to comply with this submittal deadline will not be eligible for the location tiebreaker.

The undersigned Vendor hereby certifies that (check the box for only one option below):

- ☐ **Option 1:** The Vendor is a **Local Business**, but does not qualify as a **Locally Based Business** or a **Locally Based Subsidiary**, as each term is defined by [Section 1-74, Broward County Code of Ordinances](#). The Vendor further certifies that:

- A. It has continuously maintained, for at least the one (1) year period immediately preceding the bid posting date (i.e., the date on which the solicitation was advertised),
- i. a physical business address located within the limits of Broward County, listed on the Vendor's valid business tax receipt issued by Broward County (unless exempt from business tax receipt requirements),
 - ii. in an area zoned for the conduct of such business,
 - iii. that the Vendor owns or has the legal right to use, and
 - iv. from which the Vendor operates and performs on a day-to-day basis business that is a substantial component of the goods or services being offered to Broward County in connection with the applicable competitive solicitation (as so defined, the "Local Business Location").

If Option 1 selected, indicate **Local Business Location**:

- ☐ **Option 2:** The Vendor is both a **Local Business** and a **Locally Based Business** as each term is defined by Section 1-74, Broward County Code of Ordinances. The Vendor further certifies that:

- A. The Vendor has continuously maintained, for at least the one (1) year period immediately preceding the bid posting date (i.e., the date on which the solicitation was advertised),

- i. a physical business address located within the limits of Broward County, listed on the Vendor's valid business tax receipt issued by Broward County (unless exempt from business tax receipt requirements),
 - ii. in an area zoned for the conduct of such business,
 - iii. that the Vendor owns or has the legal right to use, and
 - iv. from which the Vendor operates and performs on a day-to-day basis business that is a substantial component of the goods or services being offered to Broward County in connection with the applicable competitive solicitation as so defined, the "Local Business Location");
- B. The Local Business Location is the primary business address of the majority of the Vendor's employees as of the bid posting date, and/or the majority of the work under the solicitation, if awarded to the Vendor, will be performed by employees of the Vendor whose primary business address is the Local Business Location;
- C. The Vendor's management directs, controls, and coordinates all or substantially all of the day-to-day activities of the entity (such as marketing, finance, accounting, human resources, payroll, and operations) from the Local Business Location;
- D. The Vendor has not claimed any other location as its principal place of business within the one (1) year period immediately preceding the bid posting date; and
- E. Less than fifty percent (50%) of the total equity interests in the business are owned, directly or indirectly, by one or more entities with a principal place of business located outside of Broward County. The Vendor certifies that the total equity interests in the owned, directly or indirectly, by one or more entities with a principal place of business Vendor located outside of Broward County is .

If Option 2 selected, indicate **Local Business Location**:

☐ **Option 3:** The Vendor is both a **Local Business** and a **Locally Based Subsidiary** as each term is defined by Section 1-74, Broward County Code of Ordinances. The Vendor further certifies that:

- A. The Vendor has continuously maintained:
- i. for at least the one (1) year period immediately preceding the bid posting date (i.e., the date on which the solicitation was advertised),
 - ii. a physical business address located within the limits of Broward County, listed on the Vendor's valid business tax receipt issued by Broward County (unless exempt from business tax receipt requirements),
 - iii. in an area zoned for the conduct of such business,
 - iv. that the Vendor owns or has the legal right to use, and
 - v. from which the Vendor operates and performs on a day-to-day basis business that is a substantial component of the goods or services being offered to Broward County in connection with the applicable competitive solicitation (as so defined, the "Local Business Location");
- B. The Local Business Location is the primary business address of the majority of the Vendor's employees as of the bid posting date, and/or the majority of the work under the solicitation, if awarded to the Vendor, will be performed by employees of the Vendor whose primary business address is the Local Business Location;
- C. The Vendor's management directs, controls, and coordinates all or substantially all of the day-to-day activities of the entity (such as marketing, finance, accounting, human resources, payroll, and operations) from the Local Business Location;
- D. The Vendor has not claimed any other location as its principal place of business within the one (1) year period immediately preceding the bid posting date; and
- E. At least fifty percent (50%) of the total equity interests in the business are owned, directly or indirectly, by one or more entities with a principal place of business located outside of Broward County. The Vendor certifies that the total equity interests in the Vendor owned, directly or indirectly, by one or more entities with a principal place of business located outside of Broward County is .

If Option 3 selected, indicate **Local Business Location**:

- ☐ **Option 4:** The Vendor is a **joint venture** composed of one or more Local Businesses, Locally Based Businesses, or Locally Based Subsidiaries, as each term is defined by Section 1-74, Broward County Code of Ordinances. Fill in blanks with percentage equity interest or list "N/A" if section does not apply. The Vendor further certifies that:

- A. The proportion of equity interests in the joint venture owned by **Local Business(es)** (each Local Business must comply with all of the requirements stated in Option 1) is % of the total equity interests in the joint venture; and/or
- B. The proportion of equity interests in the joint venture owned by **Locally Based Business(es)** (each Locally Based Business must comply with all of the requirements stated in Option 2) is % of the total equity interests in the joint venture; and/or
- C. The proportion of equity interests in the joint venture owned by **Locally Based Subsidiary(ies)** (each Locally Based Subsidiary must comply with all of the requirements stated in Option 3) is % of the total equity interests in the joint venture.

If Option 4 selected, indicate the Local Business Location(s) (es) on separate sheet.

- ☒ **Option 5:** Vendor is not a Local Business, a Locally Based Business, or a Locally Based Subsidiary, as each term is defined by Section 1-74, Broward County Code of Ordinances.

Required Supporting Documentation (in addition to this form): Option 1 or 2 (**Local Business or Locally Based Business**):

1. Broward County local business tax receipt.

Option 3 (Locally Based Subsidiary)

1. Broward County local business tax receipt.
2. Documentation identifying the Vendor's vertical corporate organization and names of parent entities if the Vendor is a Locally Based Subsidiary.

Option 4 (joint venture) composed of one or more Local Business(es), Locally Based Business(es), or Locally Based Subsidiary(ies):

1. Broward County local business tax receipt(s) for each Local Business(es), Locally Based Business(es), and/or Locally Based Subsidiary(ies).
2. Executed joint venture agreement, if the Vendor is a joint venture.
3. If joint venture is comprised of one or more Locally Based Subsidiary(ies), submit documentation identifying the vertical corporate organization and parent entities name(s) of each Locally Based Subsidiary.

If requested by County (any option):

1. Written proof of the Vendor's ownership or right to use the real property at the Local Business Location.
2. Additional documentation relating to the parent entities of the Vendor.
3. Additional documentation demonstrating the applicable percentage of equity interests in the joint venture, if not shown in the joint venture agreement.
4. Any other documentation requested by County regarding the location from which the activities of the Vendor are directed, controlled, and coordinated.

By submitting this form, the Vendor certifies that if awarded a contract, it is the intent of the Vendor to remain at the Local Business Location address listed below (or another qualifying Local Business Location within Broward County) for the duration of the contract term, including any renewals or extensions. (If nonlocal Vendor, leave Local Business Location blank.)

Indicate Local Business Location:

True and Correct Attestations:

Any misleading, inaccurate, or false information or documentation submitted by any party affiliated with this procurement may lead to suspension and/or debarment from doing business with Broward County as authorized by

the Broward County Procurement Code. The Vendor understands that, if after contract award, the County learns that any of the information provided by the Vendor on this was false, and the County determines, upon investigation, that the Vendor's provision of such false information was willful or intentional, the County may exercise any contractual right to terminate the contract. The provision of false or fraudulent information or documentation by a Vendor may subject the Vendor to civil and criminal penalties.

AUTHORIZED SIGNATURE/NAME: **Jervis Hough**

TITLE: **Chief Operating Officer**

VENDOR NAME: **Blaylock Van, LLC**

DATE: **8/4/2023**

Revised May 1, 2021

Supplier: Blaylock Van, LLC**VOLUME OF PREVIOUS PAYMENTS ATTESTATION
FORM**

The completed and signed form should be returned with the Vendor's submittal. If not provided with submittal, the Vendor must submit within three business days of County's request. Failure to timely submit this form and supporting documentation may affect the Vendor's evaluation.

This completed form MUST be included with the Vendor's submittal at the time of the opening deadline to be considered for a Tie Breaker criterion (if applicable).

Points assigned for Volume of Previous Payments will be based on the amount paid-to-date by the County to a prime Vendor **MINUS** the Vendor's confirmed payments paid-to-date to approved certified County Business Enterprise (CBE) firms performing services as Vendor's subcontractor/subconsultant to obtain the CBE goal commitment as confirmed by County's Office of Economic and Small Business Development. Reporting must be within five (5) years of the current solicitation's opening date.

Vendor must list all received payments paid-to-date by contract as a prime vendor from Broward County Board of County Commissioners. Reporting must be within five (5) years of the current solicitation's opening date.

Vendor must also list all total confirmed payments paid-to-date by contract, to approved certified CBE firms utilized to obtain the contract's CBE goal commitment. Reporting must be within five (5) years of the current solicitation's opening date.

In accordance with Section 21.41(h)(4) and 21.42(d)(3) of the Broward County Procurement Code, the Vendor with the lowest dollar volume of payments previously paid by the County over a five-year period from the date of the submittal opening will receive the Tie Breaker.

The Vendor attests to the following:

Item No.	Project Title	Contract No.	Department/ Division	Date Awarded	Prime: Paid to Date	CBE: Paid to Date
1.						
2.						
3.						
4.						
5.						
6.						
7.						

Grand Total

Has the Vendor been a member/partner of a Joint Venture firm that was awarded a contract by the County?

Yes ☐ No ☒

If Yes, Vendor must submit a **Joint Vendor Volume of Work Attestation Form**.

Vendor Name: Blaylock Van, LLC

Jervis Hough
Authorized Signature/Name

Chief Operating Officer
Title

8/4/2023
Date

**VOLUME OF PREVIOUS PAYMENTS ATTESTATION
FORM FOR JOINT VENTURE**

If applicable, this form and additional required documentation should be submitted with the Vendor's submittal. If not provided with submittal, the Vendor must submit within three business days of County's request. Failure to timely submit this form and supporting documentation may affect the Vendor's evaluation.

If a Joint Venture, the payments paid-to-date by contract provided must encompass the Joint Venture and each of the entities forming the Joint Venture.

Points assigned for Volume of Previous Payments will be based on the amount paid-to-date by contract to the Joint Venture firm **MINUS** all confirmed payments paid-to-date to approved certified CBE firms utilized to obtain the CBE goal commitment. Reporting must be within five (5) years of the current solicitation's opening date. Amount will then be multiplied by the member firm's equity percentage.

In accordance with Section 21.41(h)(4) and 21.42(d)(3) of the Broward County Procurement Code, the Vendor with the lowest dollar volume of payments previously paid by the County over a five-year period from the date of the submittal opening will receive the Tie Breaker.

The Vendor attests to the following:

Item No.	Project Title	Contract No.	Department/ Division	Date Awarded	JV Equity Percent	Prime: Paid to Date	CBE: Paid to Date
1.							
2.							
3.							
4.							
5.							
6.							
7.							
8.							

Grand Total

Vendor is required to submit an executed Joint Venture agreement(s) and any amendments for each project listed above. Each agreement must be executed prior to the opening date of this solicitation.

Vendor Name: Blaylock Van, LLC**Jervis Hough**
Authorized Signature/Name**Chief Operating Officer**
Title**8/4/2023**
Date

Revised May 1, 2021

Supplier: **Blaylock Van, LLC**

Summary of Vendor Rights Regarding Broward County Competitive Solicitations

The purpose of this document is to provide vendors with a summary of their rights to object to or protest a proposed award or recommended ranking of vendors in connection with Broward County competitive solicitations. These rights are fully set forth in the Broward County Procurement Code, available here: <https://www.broward.org/purchasing>.

1. Right to Object

For Requests for Proposals (RFP), Requests for Qualifications (RFQ) or Requests for Letters of Interest (RLI), vendors may object in writing to a proposed recommendation of ranking made by an Evaluation Committee. Objections must be filed within three (3) business days after the proposed recommendation of ranking (if applicable) is posted on the Purchasing Division's website. The written objection must comply with the requirements stated in Section 21.42(h) of the Procurement Code. Failure to timely and fully meet any requirement will result in the loss of a right to object.

2. Right to Protest

For Invitations to Bid (ITBs), RFP, RFQ, and RLIs, vendors may protest the specifications or requirements of a solicitation (or of any addenda). Protests must be received in writing by the Director of Purchasing within five (5) business days after the applicable solicitation (or addenda) is posted on the Purchasing Division's website.

For ITBs, vendors may protest a recommendation for award made by the Broward County Purchasing Division. For RFPs, RFQs, and RLIs, vendors may protest a final recommendation of ranking made by an Evaluation Committee. In all cases, protests must be filed in writing within five (5) business days after a recommended ranking or recommendation for award is posted on the Purchasing Division's website.

Any protest must comply with requirements stated in Part X of the Procurement Code, including a filing fee (if applicable). Failure to timely and fully meet any requirement will result in a loss of protest rights.

Vendors may appeal the denial of a protest. Section 21.81 of the Procurement Code identifies all other matters that may be appealed. Appeals may require payment of an appeal bond. Appeals must comply with requirements stated in Part XII of the Procurement Code. Failure to timely and fully meet any requirement will result in a loss of appeal rights.

Cone of Silence:

The Board of County Commissioners recently updated provisions of the Cone of Silence Ordinance, Section 1-266, of the Broward County Code of Ordinances, effective as of April 1, 2022.

The County's Cone of Silence Ordinance prohibits all communications, oral or written, relating to a competitive solicitation among vendors/vendor representatives, County Staff, and Commissioner Offices while the cone is in effect. Communications with Purchasing Division employees, the solicitation's designated Project Manager(s) or designee(s), the Office of Economic and Small Business (OESBD) Small Business Development Specialist Supervisor (954-357-6400), and others as specifically identified in the Cone of Silence Ordinance are permitted. Additionally, communication is permitted at pre-bid conferences and negotiation meetings, as applicable.

The Cone of Silence begins upon the advertisement of an ITB, RFP, RFQ, or RLI. The Cone of Silence terminates when the solicitation is awarded, all responses are rejected, or the Board takes other action which ends the solicitation.

Any violations of the Code of Silence Ordinance by any vendor/vendor representative, may be reported to the County's Professional Standards/Human Rights Section. If the County's Professional Standards/Human Rights Section determines that a violation has occurred, a fine shall be imposed as provided in the Broward County Code of Ordinances. At the sole discretion of the Broward County Board of County Commissioners, a violation may void an award of the applicable competitive solicitation.

Review the Cone of Silence Ordinance, Section 1-266 of the Broward County Code of Ordinances, for more detailed information.

Updated: April 1, 2022